

# Semileptonic B Decays and Implications for Higgs Searches

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for the BaBar Collaboration

Epiphany Conference on present and future of B-physics

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Queen Mary  
University of London

# Outline

Semileptonic decays in the  $B, B_s$  sector, measurement techniques

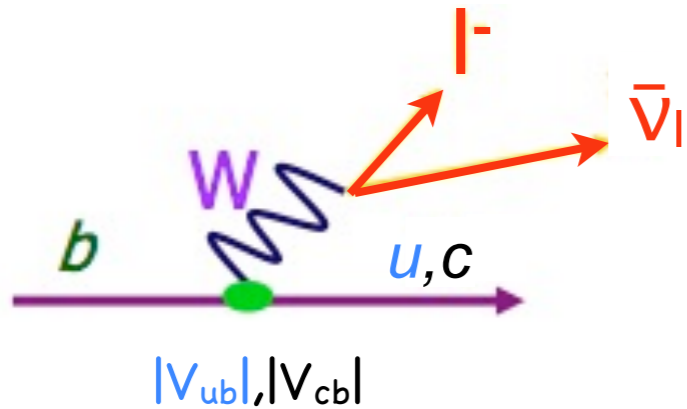
The  $|V_{ub}|, |V_{cb}|$  puzzle

$B_s$  production rate and semileptonic branching fraction

$B \rightarrow D^{(*)} \tau \nu$  and the two-doublet Higgs model

# Semileptonic B Decays

Semileptonic B decays give us a clear view of the b quark inside the B meson



Decay rate depends on  $|V_{ub}|$  and  $|V_{cb}|$   
Leptonic and hadronic currents can be disentangled

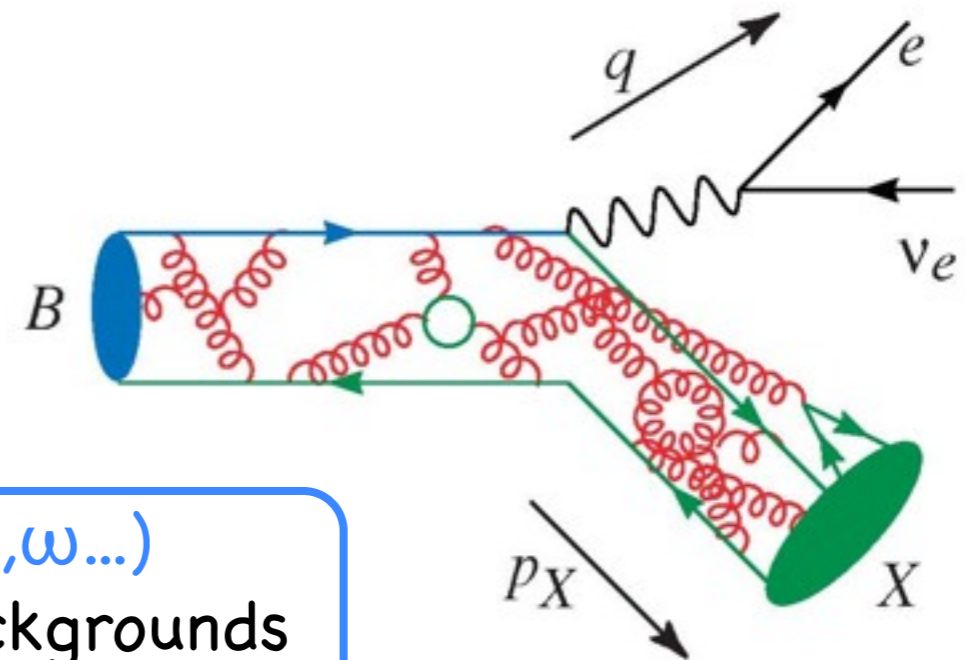
Tree-level decays  $\implies$  independent of new physics

## Inclusive decays

Large signal rate, high backgrounds

Total rate calculated with HQE

Need to account for **non perturbative QCD** effects!



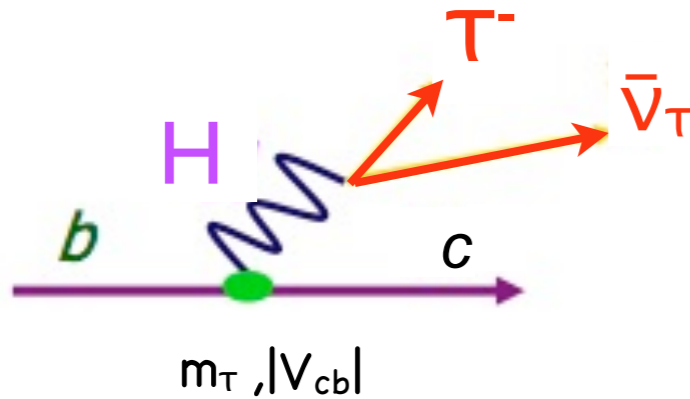
## Exclusive decays ( $X=\pi,\rho,\omega\dots$ )

Lower signal rate, lower backgrounds

Need **Form Factors** to describe the decay process

# Semileptonic B Decays

Semileptonic B decays give us a clear view of the b quark inside the B meson



Tree level decays  $\Rightarrow$  independent of new physics

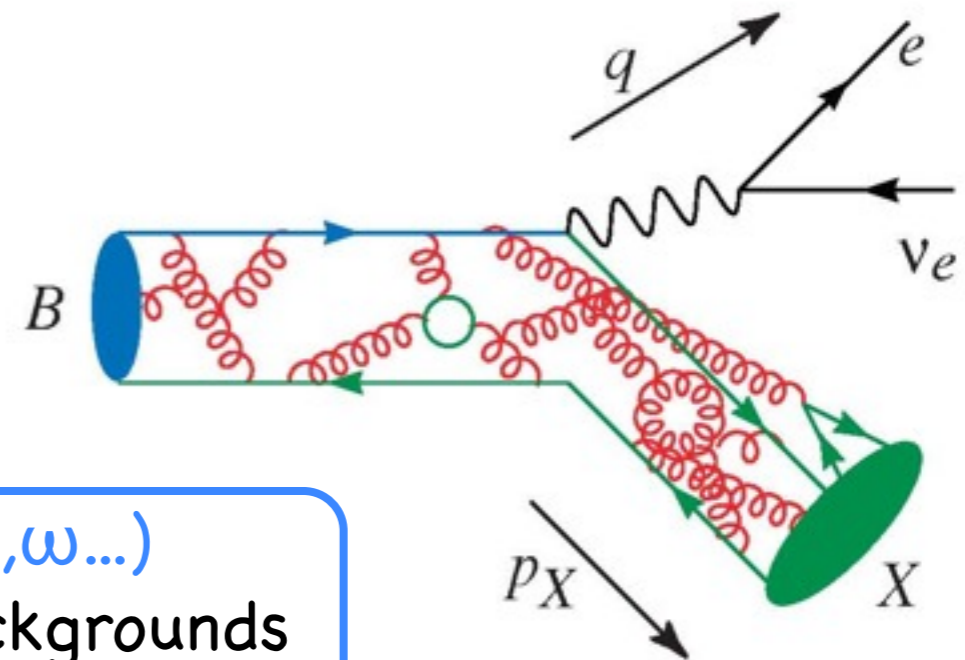
but  $\tau$  final states may be sensitive to charged Higgs exchange

## Inclusive decays

Large signal rate, high backgrounds

Total rate calculated with HQE

Need to account for **non perturbative QCD** effects!

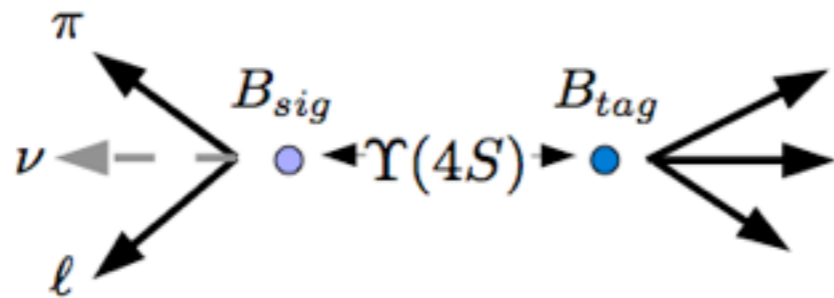
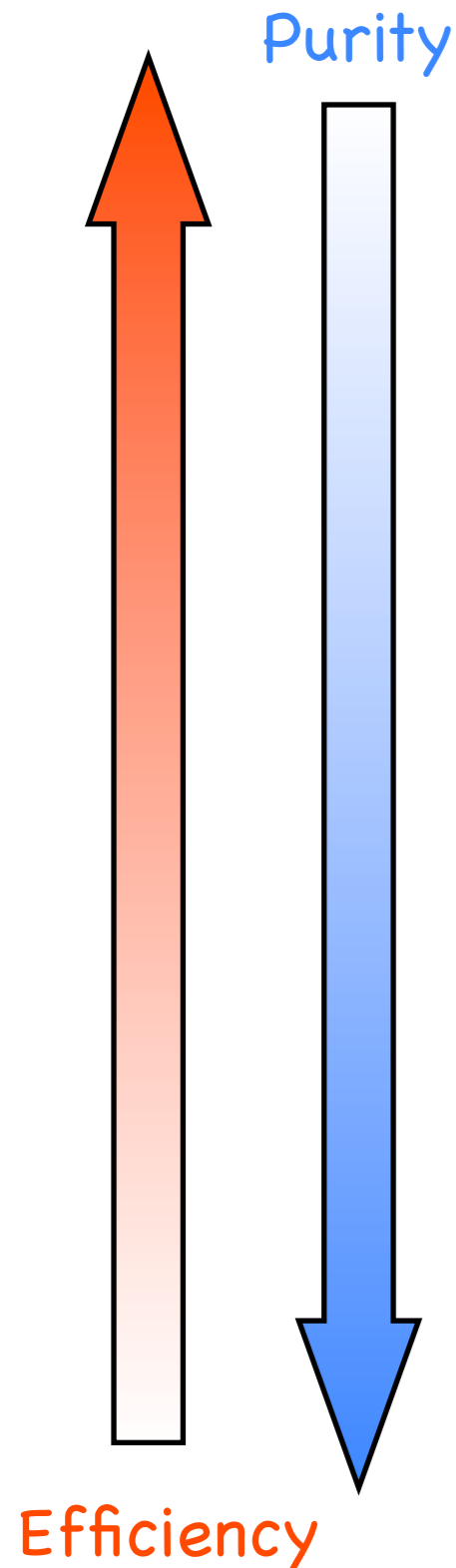


## Exclusive decays ( $X=\pi,\rho,\omega\dots$ )

Lower signal rate, lower backgrounds

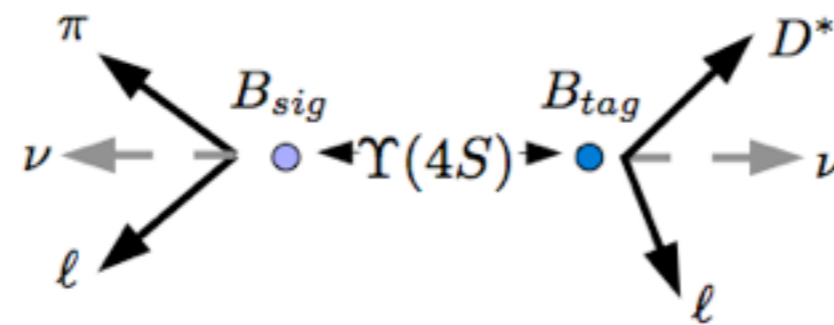
Need **Form Factors** to describe the decay process

# Measurement Techniques - Tagging



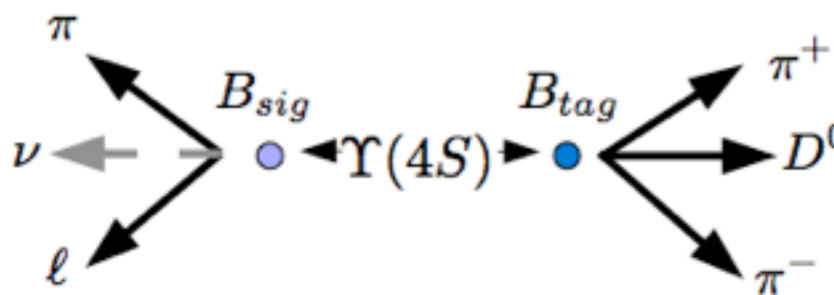
**Untagged:**  
 Only signal reconstruction  
 Initial momentum known  
 High statistics  
 Sensitive to background simulation

efficiency  $\approx 2\%$



**Semileptonic tag:**  
 Reconstruct  $B \rightarrow D^{(*)} \ell \nu$   
 Full or partial reconstruction of  $D^*$   
 $2\nu$  - incomplete kinematics

efficiency  $\approx 0.7\%$



**Hadronic tag:**  
 Reconstruct  $B \rightarrow D^{(*)} Y$  ( $Y=K, \pi, \dots$ ) in  
 $\sim 1000$  modes  
 Closed kinematics, charge and  
 flavour are known

# The $|V_{ub}|$ , $|V_{cb}|$ puzzle

Using various measurement techniques, we are confronted with a puzzle:  $|V_{ub}|$  and  $|V_{cb}|$  values from inclusive and exclusive measurements are marginally consistent with each other

## $|V_{cb}|$

PRD 81, 032003 (2010)



|                    |   |
|--------------------|---|
| Inclusive          | $(42.1 \pm 0.6 \pm 0.8) \times 10^{-3}$ |
| Combined exclusive | $(37.4 \pm 1.2 \pm 1.4) \times 10^{-3}$ |

2.2  $\sigma$   
discrepancy

PRD 74, 092004 (2006)

PRD 77, 032002 (2008)

## $|V_{ub}|$

arXiv: 1112.0702 [hep-ex]



|                    |   |
|--------------------|---|
| Inclusive          | $(4.31 \pm 0.25 \pm 0.16) \times 10^{-3}$ |
| Combined exclusive | $(3.13 \pm 0.14 \pm 0.27) \times 10^{-3}$ |

2.8  $\sigma$   
discrepancy

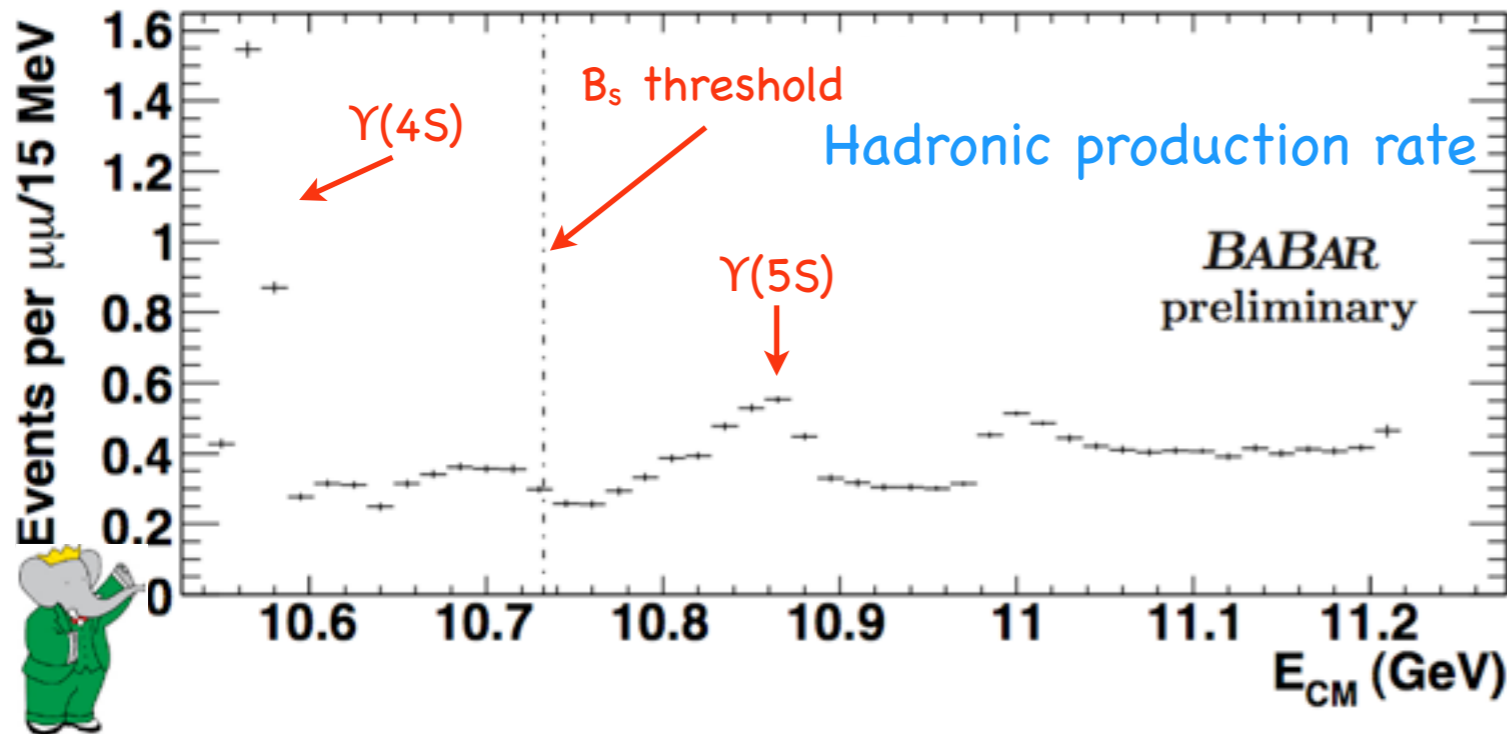
PRD 83, 032007 (2011)

PRD 83, 052011 (2011)

What is the source of the discrepancy? Experiment? Theory? Both?

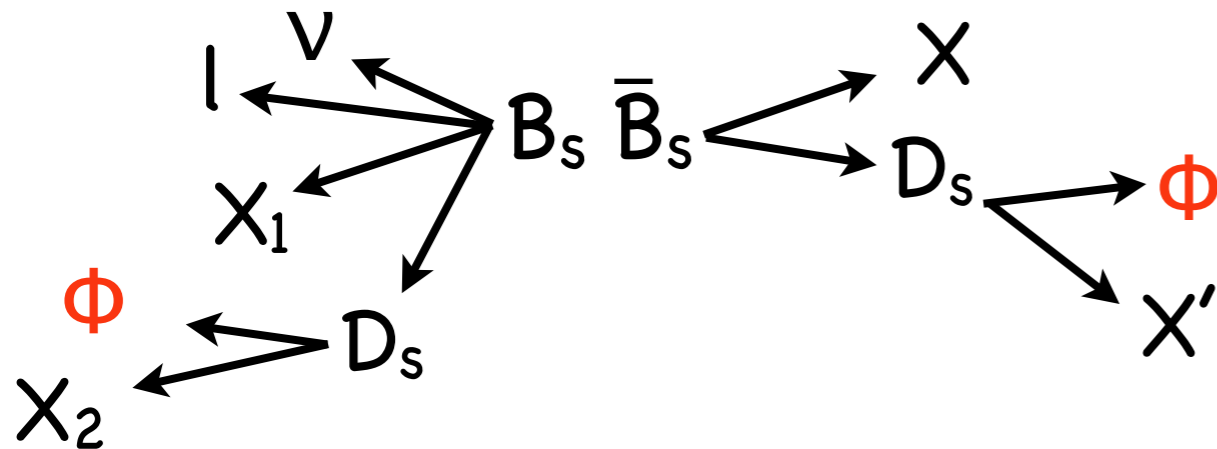
# B<sub>s</sub> Semileptonic Branching Fraction

PRL 102, 012001 (2009)



Inclusive rate measurement above the  $\Upsilon(4S)$  resonance:  
 10.56 GeV (open B threshold) to 11.2 GeV (short of  $\Lambda_b$  threshold at 11.24):  
 $\approx 140$  points with a total  $\approx 4.15 \text{ fb}^{-1}$

$\Phi$  mesons abundant in  $B_s$  decays, compared to  $B_{u/d}$ :



$$B(B_s \rightarrow D_s X) \times B(D_s \rightarrow \Phi X) \approx 15\% \text{ (PDG 2010)}$$

$$B(B \rightarrow \Phi X) \approx 3.43\% \text{ (PDG 2010)}$$

$$B_s \rightarrow D_s | \nu X_1 \rightarrow \Phi | \nu X_2 \approx 1.3\% \text{ (same } B_s)$$

$$B \rightarrow D | \nu X_1 \rightarrow \Phi | \nu X_2 \approx 0.1\% \text{ (same } B)$$

$$B_s \rightarrow | \nu X_1 \text{ \& } B_s \rightarrow \Phi X_2 \approx 1.4\% \text{ (different } B_s)$$

The inclusive yield of  $\Phi$  and  $\Phi$ +lepton in  $B_s$  and  $B_{u/d}$  decays can be used to measure the  $B_s \bar{B}_s / B \bar{B}$  production rate and the  $B_s$  semileptonic branching fraction



# $B_s$ Semileptonic Branching Fraction

We measure number of events,  $\Phi$  yield, and  $\Phi$  yield in correlation with a high-momentum lepton as a function of CM energy

Hadronic event rate

$$R_B [f_s \epsilon_h^s + (1 - f_s) \epsilon_h]$$

Inclusive  $\Phi$  rate

$$R_B [f_s \epsilon_\phi^s P(B_s \bar{B}_s \rightarrow \phi X) + (1 - f_s) \epsilon_\phi P(B \bar{B} \rightarrow \phi X)]$$

Inclusive  $\Phi$ +lepton rate

$$R_B [f_s \epsilon_{\phi\ell}^s P(B_s \bar{B}_s \rightarrow \phi\ell X) + (1 - f_s) \epsilon_{\phi\ell} P(B \bar{B} \rightarrow \phi\ell X)]$$

$$R_B = \sum_{q=u,d,s} \sigma(e^+e^- \rightarrow B_q \bar{B}_q) / \sigma_{\mu^+\mu^-} \quad f_s \equiv \frac{N_{B_s}}{N_{B_u} + N_{B_d} + N_{B_s}}$$

$\epsilon_i$  are efficiencies estimated from MC

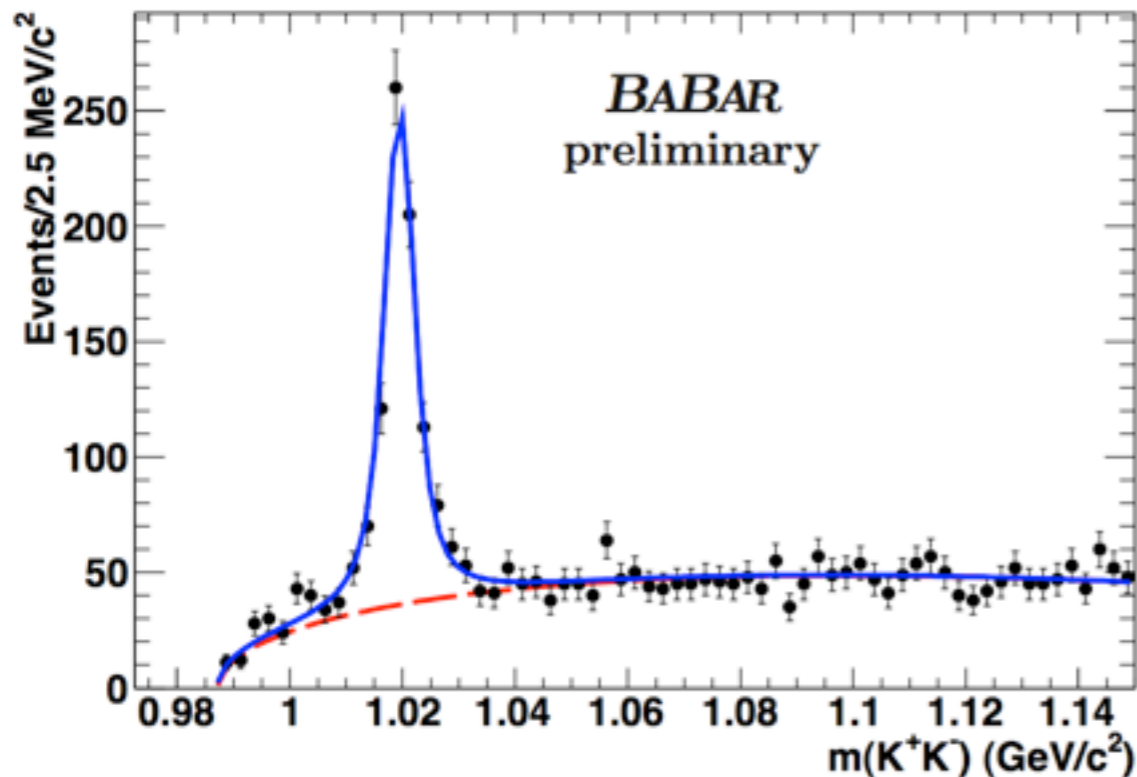
$P(B\bar{B} \rightarrow \Phi(l)X)$  are probabilities that a  $\Phi(l)$  is produced in a  $B\bar{B}$  event



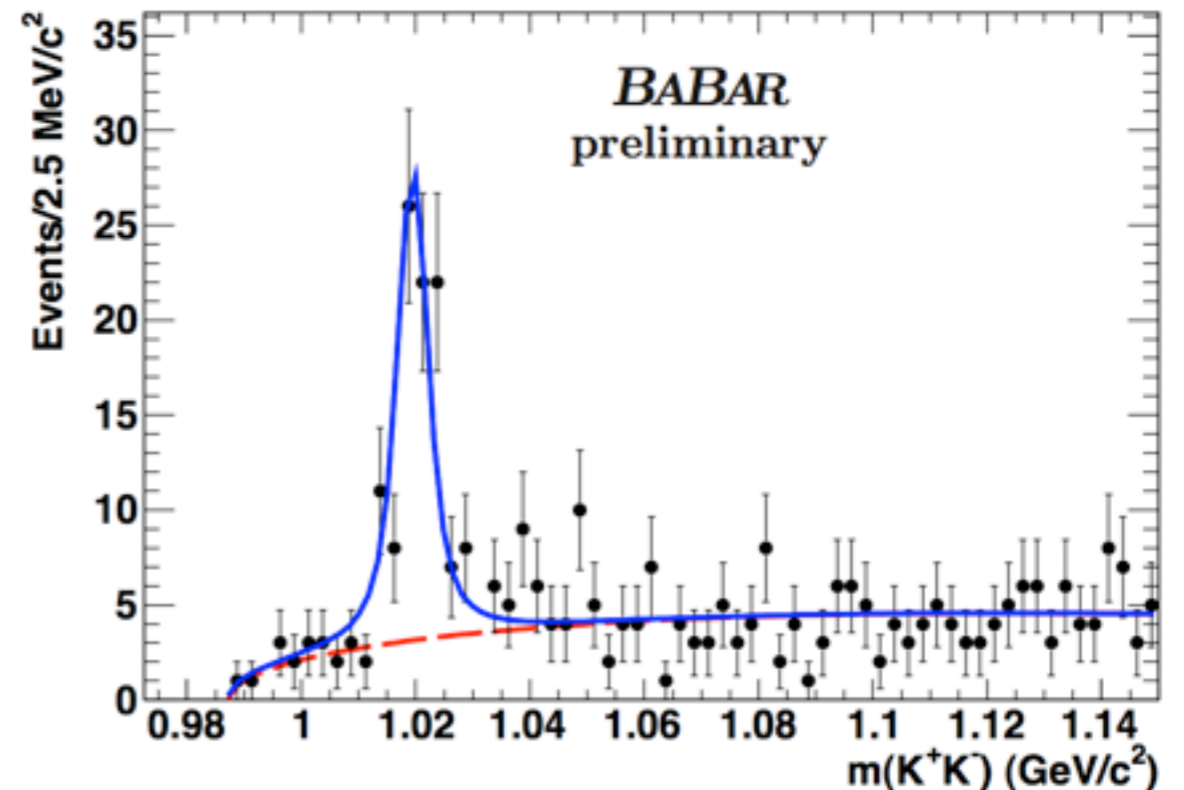
# $B_s$ Semileptonic Branching Fraction

For each bin in CM energy,  $\Phi$  candidates are reconstructed in the  $\Phi \rightarrow K^+K^-$  decay mode

$B\bar{B} \rightarrow \Phi X$  candidates



$B\bar{B} \rightarrow \Phi | X$  candidates

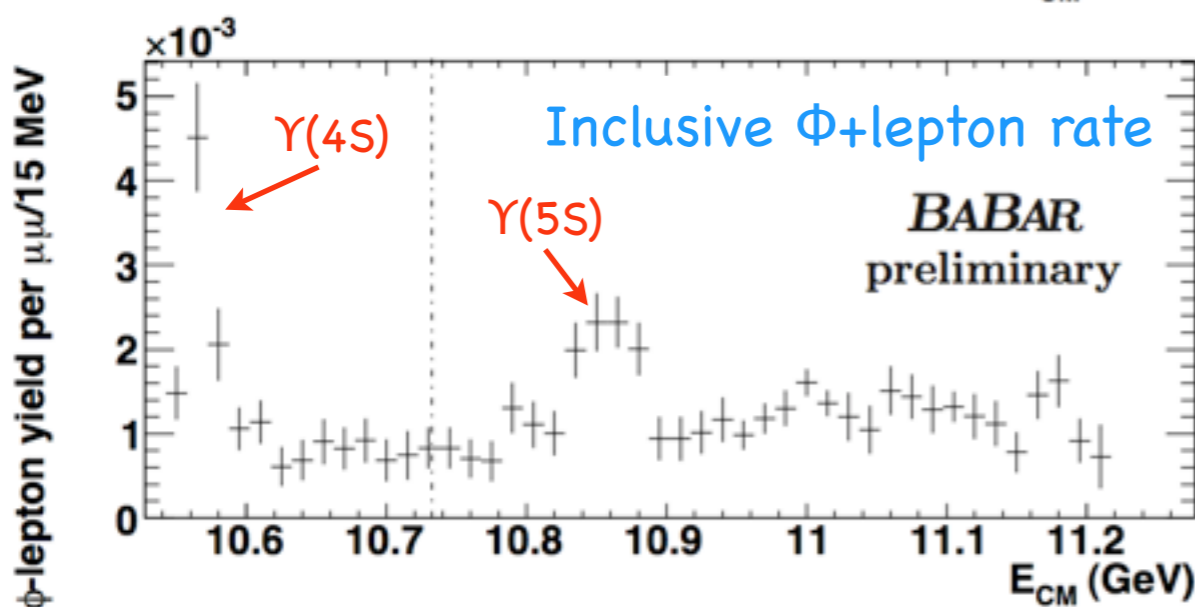
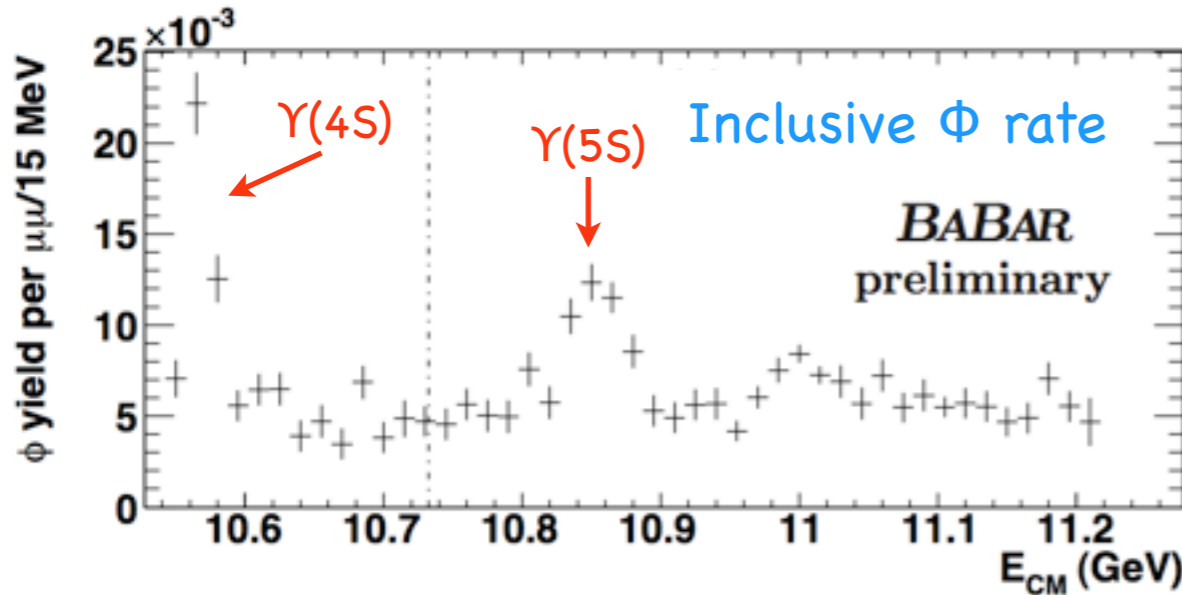
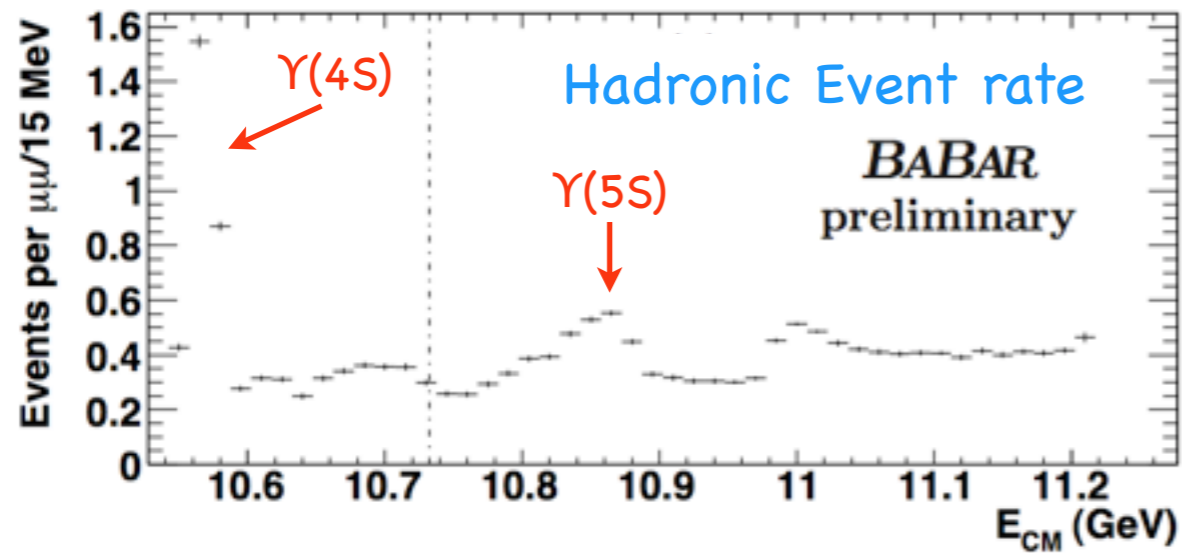


Invariant KK mass in the bin  $10.8275 < \text{ECM} < 10.8425$  GeV

Fit PDF is a Voigt profile for the signal and the product of a linear term and a threshold cutoff function for the combinatorial background

Continuum  $e^+e^- \rightarrow q\bar{q}$  background is subtracted, bin by bin, using data below  $\Upsilon(4S)$  threshold

# $B_s$ Semileptonic Branching Fraction



Now we have a measured rate that can be related to the equations showed earlier, noting that unknown quantities can be estimated

$B_{u/d}$  contributions are measured in data taken at  $\Upsilon(4S)$

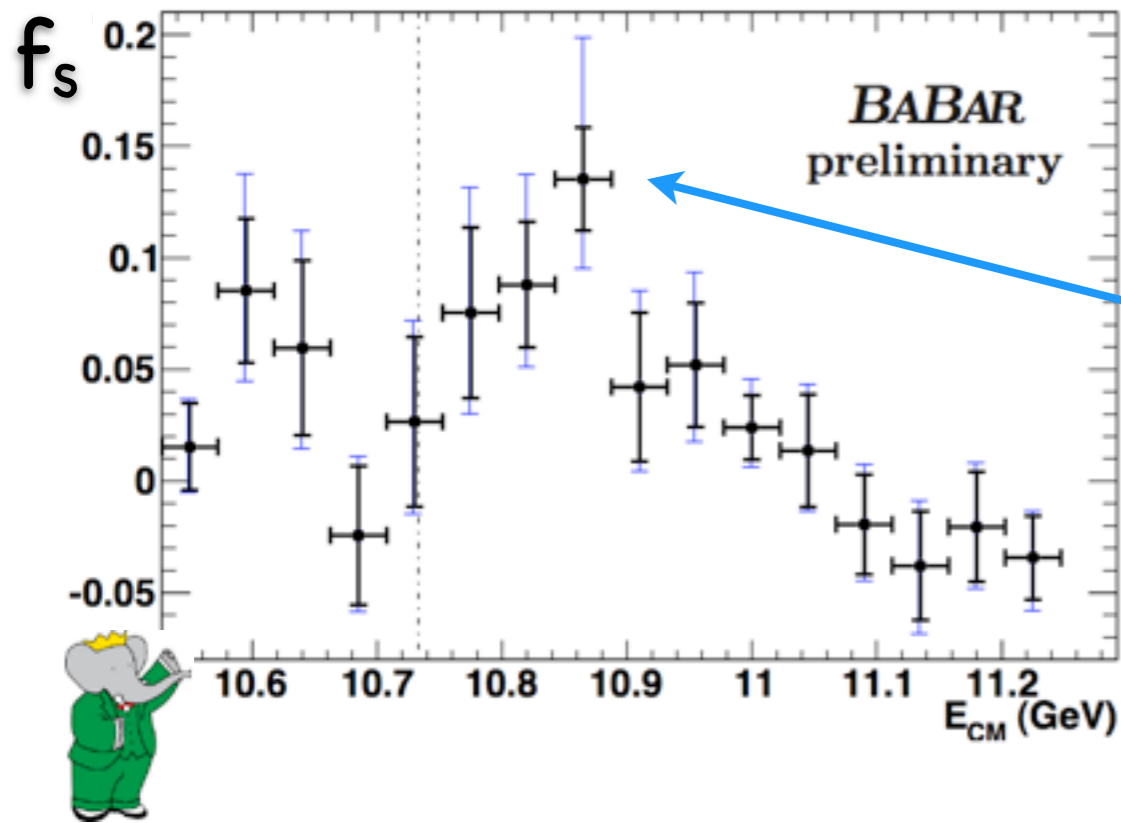
$f_s$  is extracted at each energy point from number of events and  $\Phi$  yield

$B_s$  contributions depend on known quantities, such as:

$$\begin{aligned} &BF(B_s \rightarrow D_s X), \\ &BF(B_s \rightarrow l\nu X), BF(D_s \rightarrow l\nu X), \\ &BF(D_s \rightarrow \Phi X), B(D_s \rightarrow \Phi l\nu Xn) \end{aligned}$$

Finally, a  $\chi^2$  is constructed from measured and expected values of  $P(B_s \bar{B}_s \rightarrow \Phi | X)$ , and minimized with respect to  $BF(B_s \rightarrow l\nu X)$

# $B_s$ Semileptonic Branching Fraction



$$f_s \equiv \frac{N_{B_s}}{N_{B_u} + N_{B_d} + N_{B_s}}$$

$B_s$  production rate compatible with earlier estimates at  $\Upsilon(5S)$  peak:

Belle:  $(19.3 \pm 2.9)\%$  PRD 76, 012002 (2007)

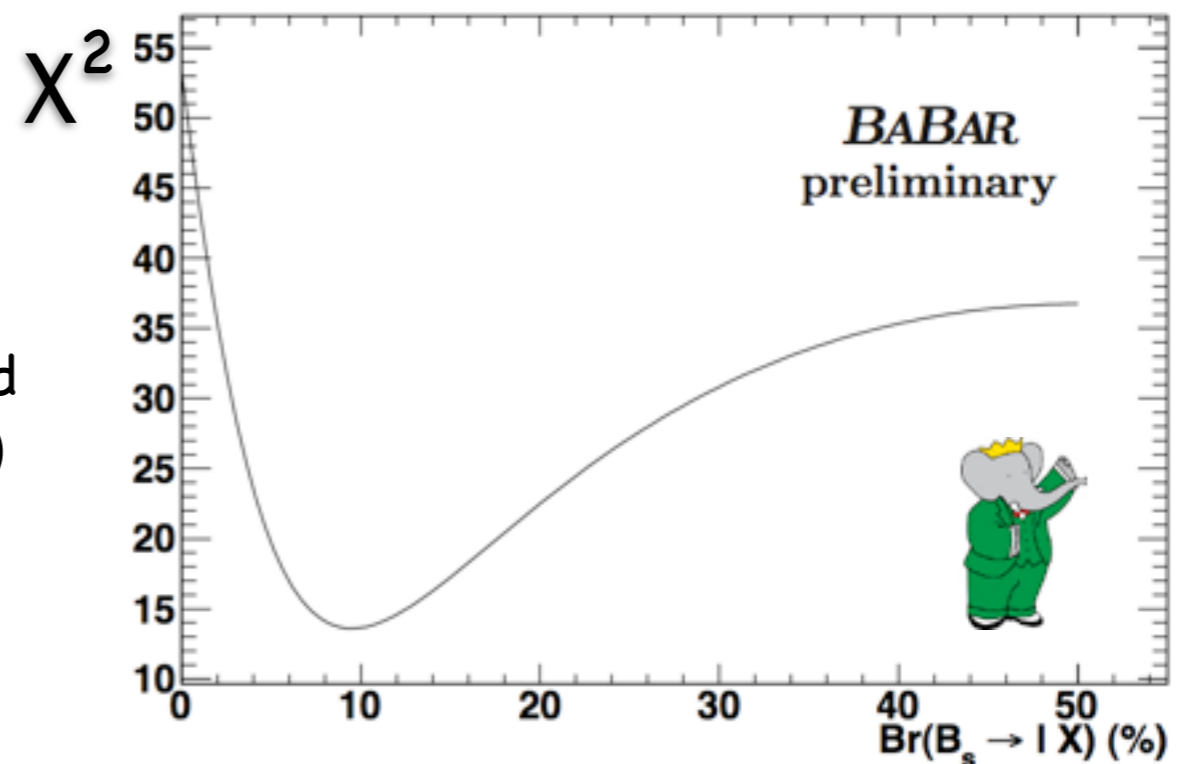
CLEO:  $(16.8 \pm 2.6^{+6.7}_{-3.4})\%$  PRD 75, 012002 (2007)

$$\text{BF}(B_s \rightarrow l \nu X) = 9.5^{+2.5}_{-2.0} {}^{+1.1}_{-1.9} \%$$

Dominant systematic is from inclusive  $D_s$  yield per  $B_s$ :  $0.93 \pm 0.25$  (Belle and LEP, PDG2010)

arXiv: 1110.5600 [hep-ex]

accepted for publication by PRD-RC

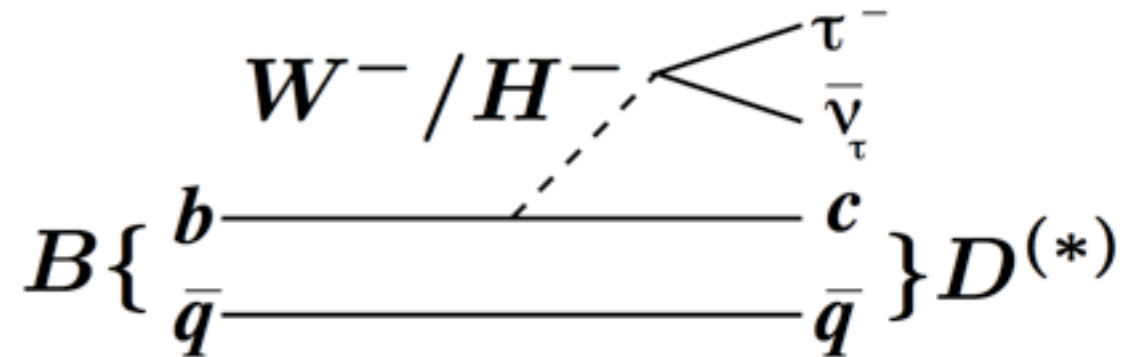


$$B \rightarrow D^{(*)} \tau \nu$$

Semileptonic B decays to  $\tau$  are not as well known as to  $e$  and  $\mu$

DTV measured with  $3.8 \sigma$ ,  
 $D^* \tau \nu$  with  $8.1 \sigma$

Their branching fractions can be affected by new physics - the two-doublet model of Higgs sector introduces tree-level interactions with a charged Higgs



A quantity where many theoretical and experimental systematic uncertainties cancel is

$$R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \nu)}$$

if  $m_b \tan \beta \gg m_c$  and  $m_H^2 \gg q^2$ , the rate depends only on:

$$\begin{aligned} \frac{d\Gamma}{dq^2} &= \frac{d\Gamma_{SM}}{dq^2} \left(1 - m_B^2 \tan^2 \beta / m_H^2\right)^2 \\ &= \frac{d\Gamma_{SM}}{dq^2} (1 - g_s)^2 \end{aligned}$$

Standard Model predictions:

$$R(D) = 0.31 \pm 0.02 \text{ Nierste, Trine, Westhoff (2008)}$$

$$R(D^*) = 0.252 \pm 0.013 \text{ Chen, Geng (2006)}$$

$$g_s = m_B^2 \tan^2 \beta / m_H^2$$

# B $\rightarrow$ D<sup>(\*)</sup> $\tau$ $\nu$

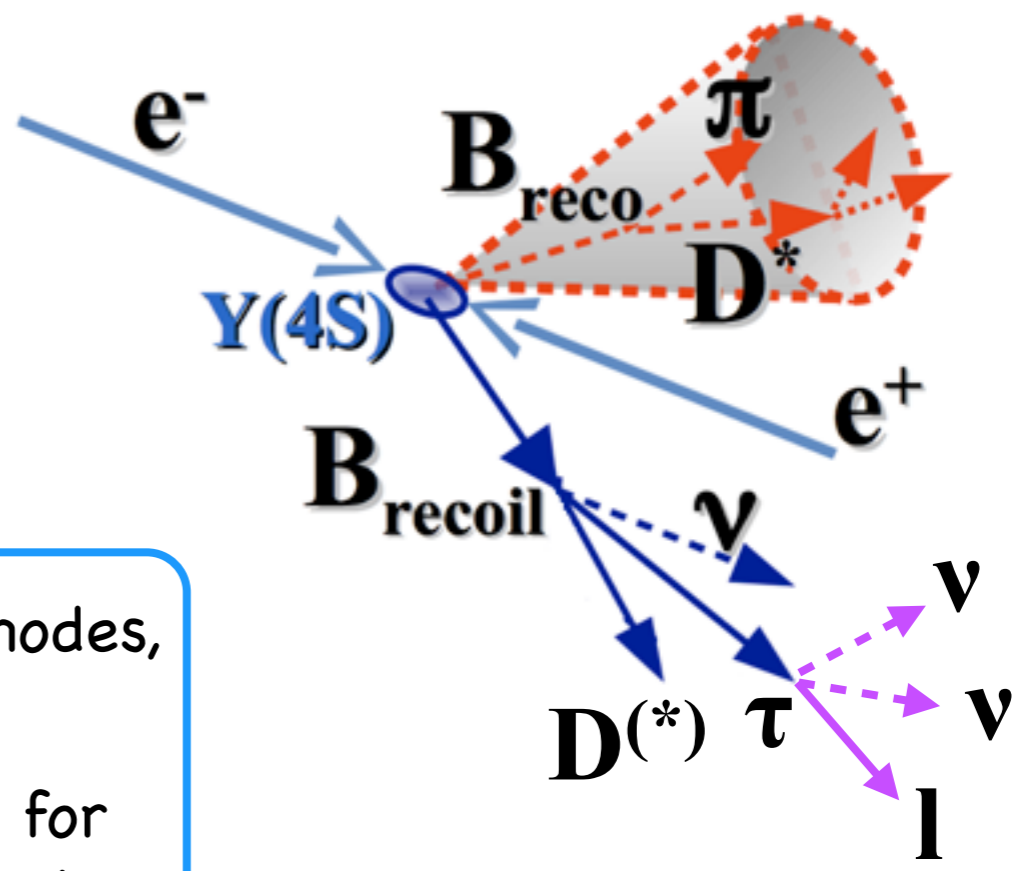
B tagging with full reconstruction of one side in hadronic modes:  
 $B \rightarrow D^{(*)} Y, Y = n\pi + m\pi^0 + pK_s + qK$

Requirements on:

$$m_{ES} = \sqrt{E_{beam}^{*2} - p_B^{*2}}$$

$$\Delta E = E_B^* - E_{beam}$$

Signal side: D<sup>(\*)</sup> candidate reconstructed in 11 modes, with D  $\rightarrow$  KK, D  $\rightarrow$  Kn $\pi$  (n=1,2,3)  
 candidates have **e or  $\mu$  in final state**: primary for normalization (1 $\nu$ ), secondary for  $\tau$  decays (3 $\nu$ )  
 no additional particle detected



to discriminate against e,  $\mu$  from normalization modes:

$$q^2 = (E_{miss} + E_l)^2 - (\mathbf{p}_{miss} + \mathbf{p}_l)^2$$

Signal region is  $q^2 > 4 \text{ GeV}^2$

$$m_{miss}^2 = \text{invariant mass of missing E and p}$$

peaks at 0 for normalization modes,  $> 1 \text{ GeV}^2$  for signal

Boosted Decision Tree (BDT) used to suppress combinatorial, continuum  $e^+e^- \rightarrow q\bar{q}$  and  $B \rightarrow D^{**} l \nu$  backgrounds



# B $\rightarrow$ D<sup>(\*)</sup> T V

4 main channels: D<sup>0</sup>, D<sup>+</sup>, D<sup>\*0</sup>, D<sup>\*+</sup>

Unbinned ML fit of  $m^2_{\text{miss}}$  versus  $p^*_1$  distributions

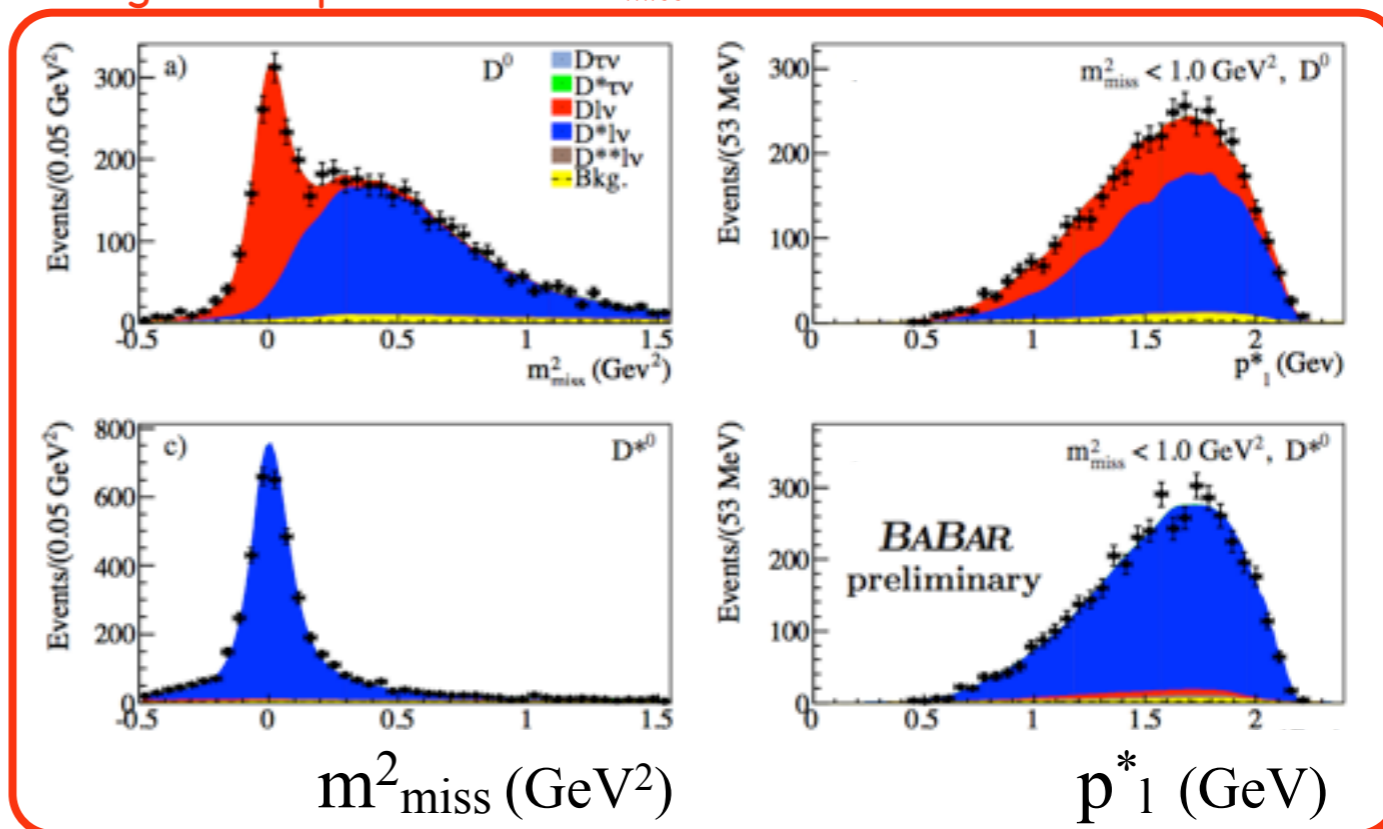
Simultaneous fit to signal and special D<sup>\*\*</sup>-enriched samples

D<sup>\*\*</sup>-enriched samples: B  $\rightarrow$  D<sup>(\*)</sup>  $\pi^0$  lV, to determine feed-down

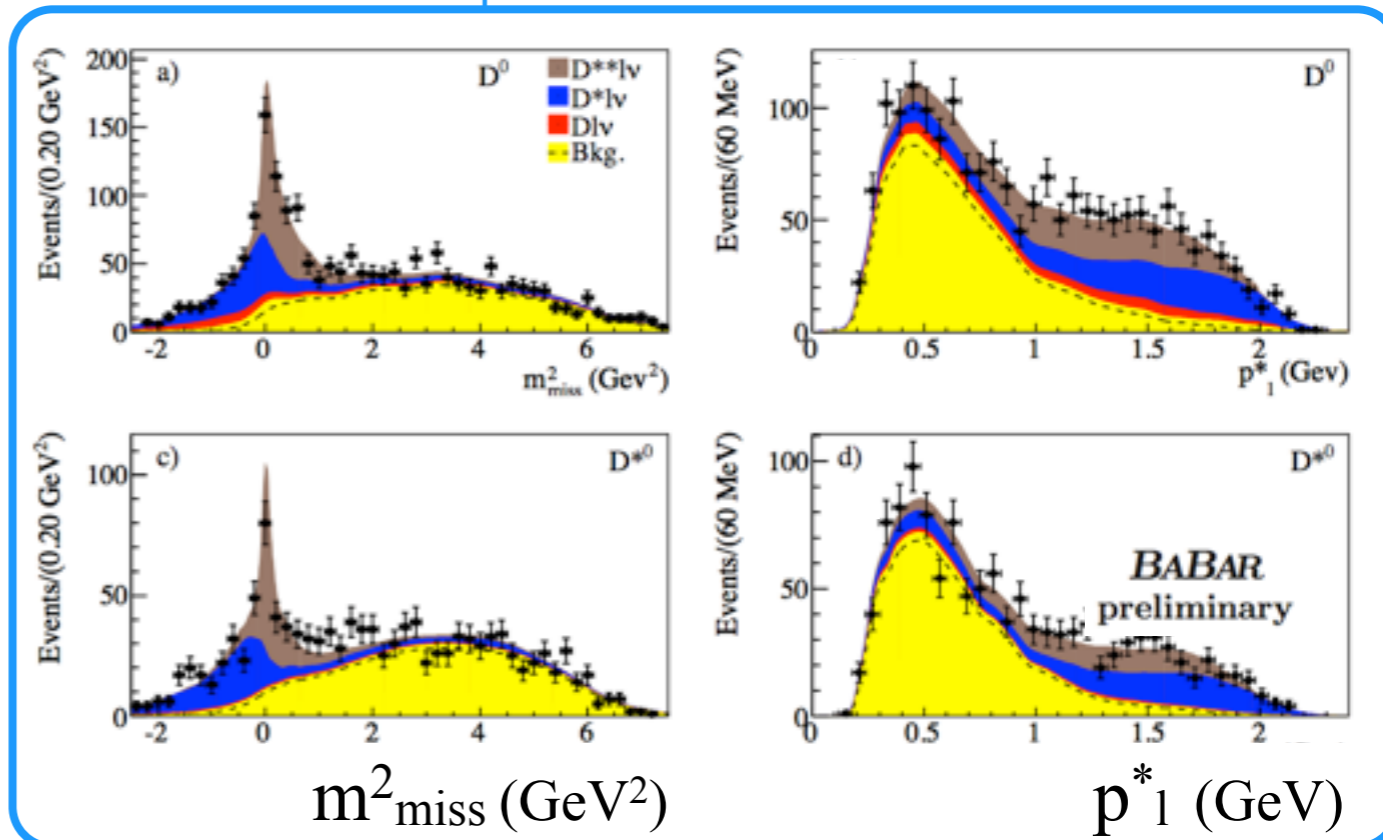
10 parameter fit to 4 signal channels + 12 parameter fit to D<sup>(\*)</sup>  $\pi^0$  control channels

Simulation used to fix the relative yields of continuum, B combinatorial and charge cross-feed background

signal samples at low  $m^2_{\text{miss}}$

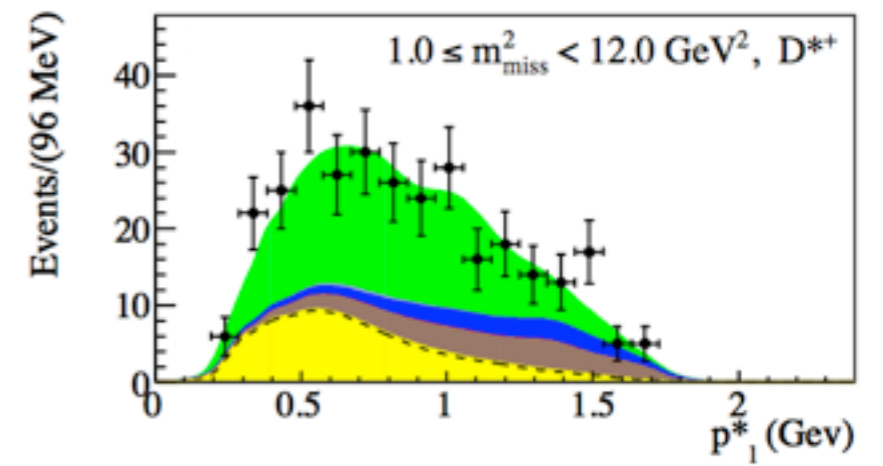
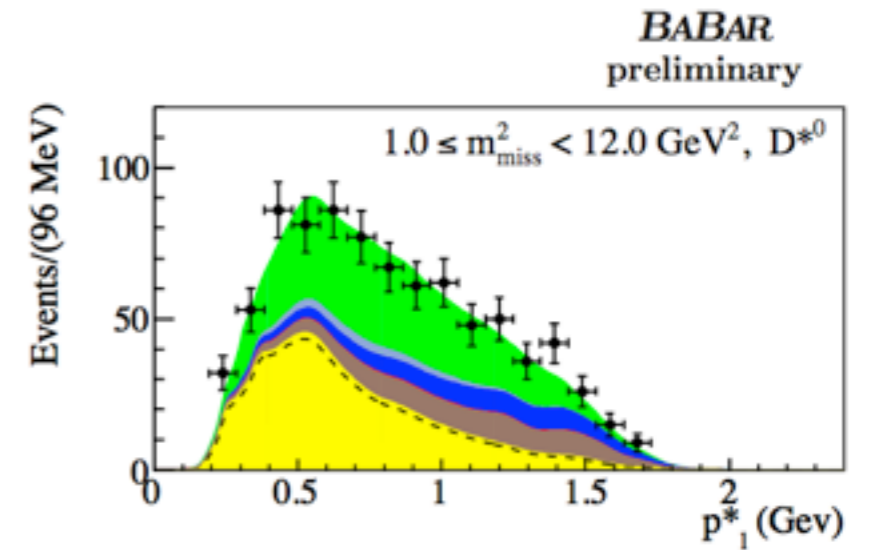
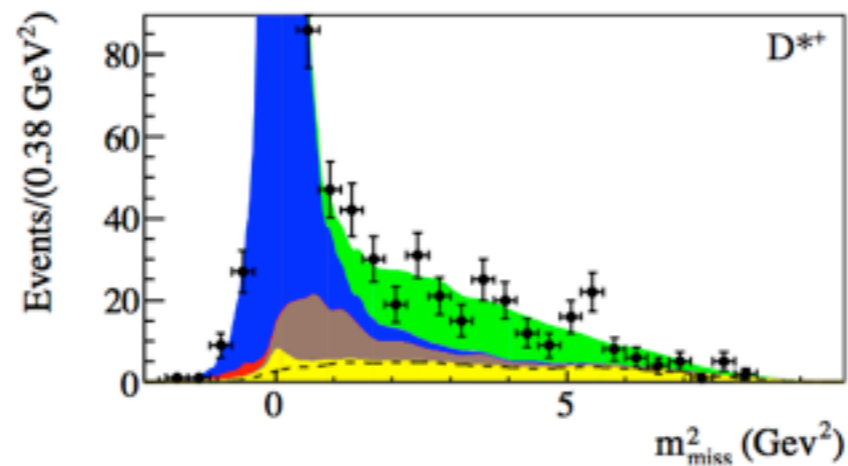
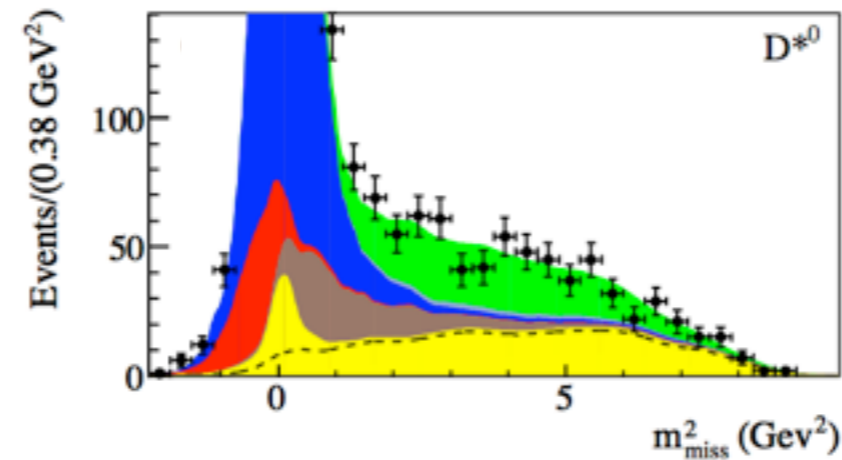


D<sup>\*\*</sup>-enriched samples





Fit to  $B \rightarrow D^* \tau \nu$  samples



isospin constrained

|                  | $D^{*0}$          | $D^{*+}$          | $D^*$             |
|------------------|-------------------|-------------------|-------------------|
| $N_{\text{sig}}$ | $511 \pm 48$      | $220 \pm 23$      | $730 \pm 50$      |
| Significance     | 11.9              | 12.1              | 17.1              |
| $R(D^*)$         | $0.314 \pm 0.030$ | $0.356 \pm 0.038$ | $0.325 \pm 0.023$ |

Both charge modes reconstructed with high significance

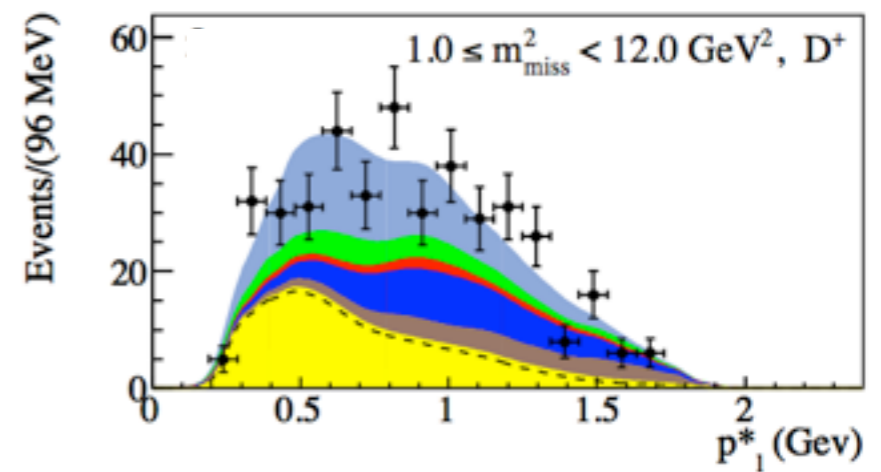
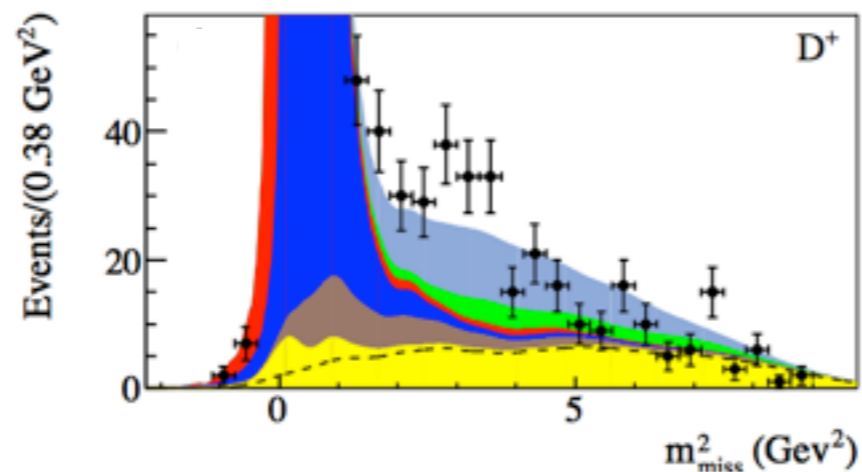
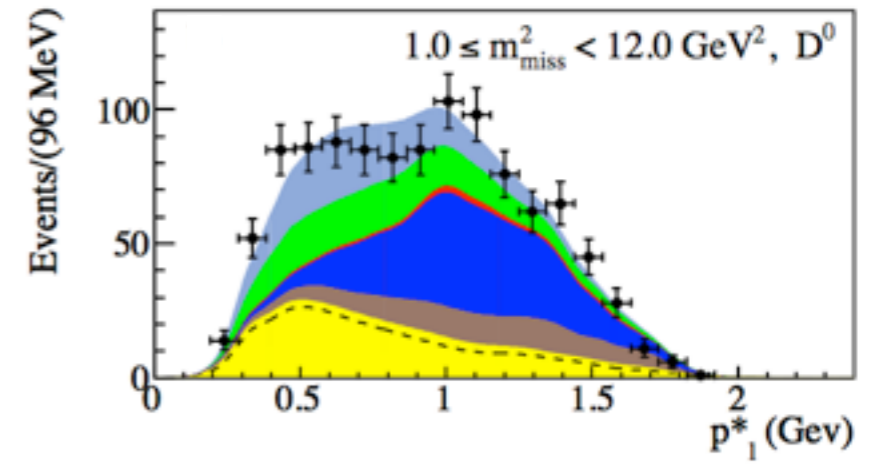
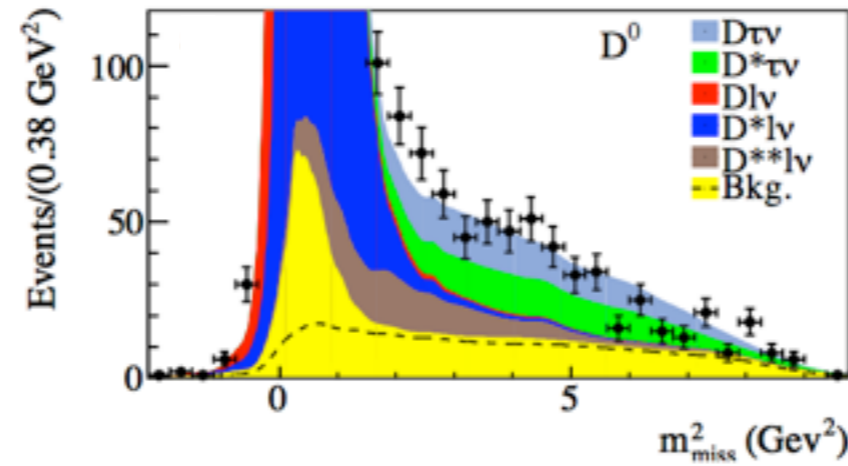


# B → D τ ν

BABAR  
preliminary

Fit to B → D τ ν samples

- Dτν
- D\*τν
- Dlv
- D\*lv
- D\*\*lv
- Bkg.



isospin constrained

|                  | D <sup>0</sup> | D <sup>+</sup> | D             |
|------------------|----------------|----------------|---------------|
| N <sub>sig</sub> | 226 ± 39       | 139 ± 21       | 368 ± 42      |
| Significance     | 6.2            | 7.5            | 9.6           |
| R(D)             | 0.422 ± 0.074  | 0.513 ± 0.081  | 0.456 ± 0.053 |

first >5σ observation

Background overestimation for m<sup>2</sup><sub>miss</sub> > 5 GeV<sup>2</sup>

$$B \rightarrow D^{(*)} \tau \nu$$

Systematic uncertainties:

Currently, the variation of BDT cut dominates

Tight BDT cut: 50% of nominal sample  
 Loose BDT cut: 200% of nominal sample

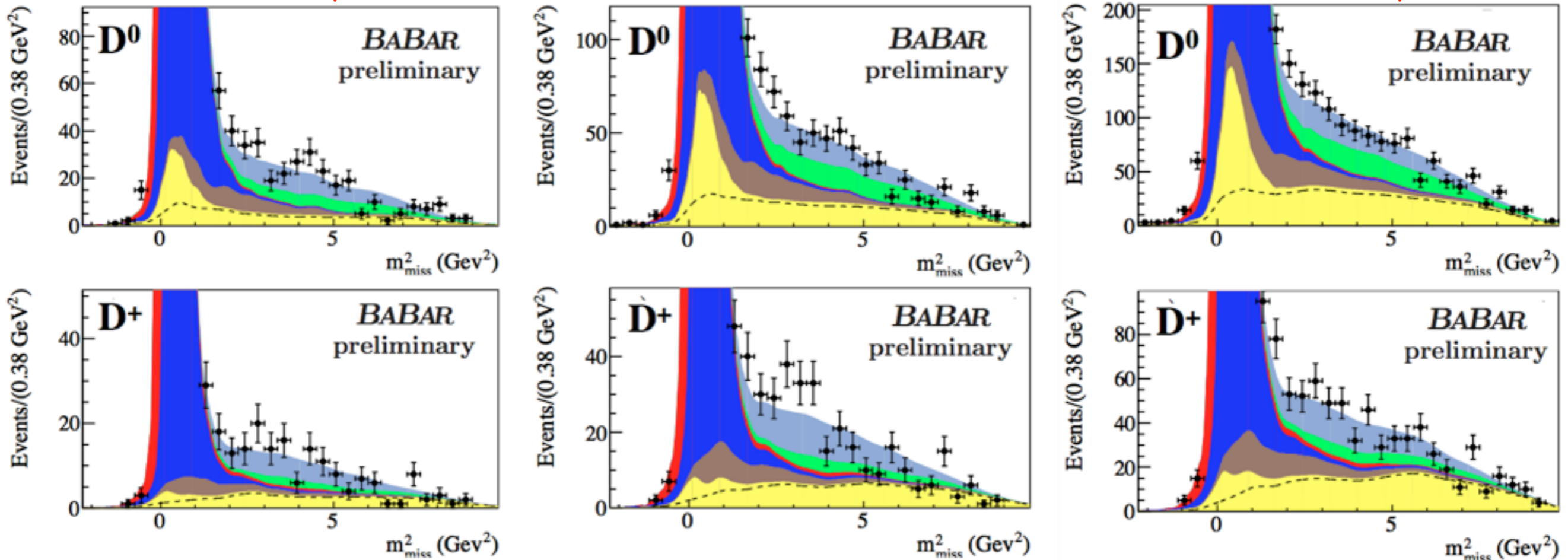


$R(D^{(*)})$  changes due to statistical and systematic effects

50% sample

nominal

200% sample

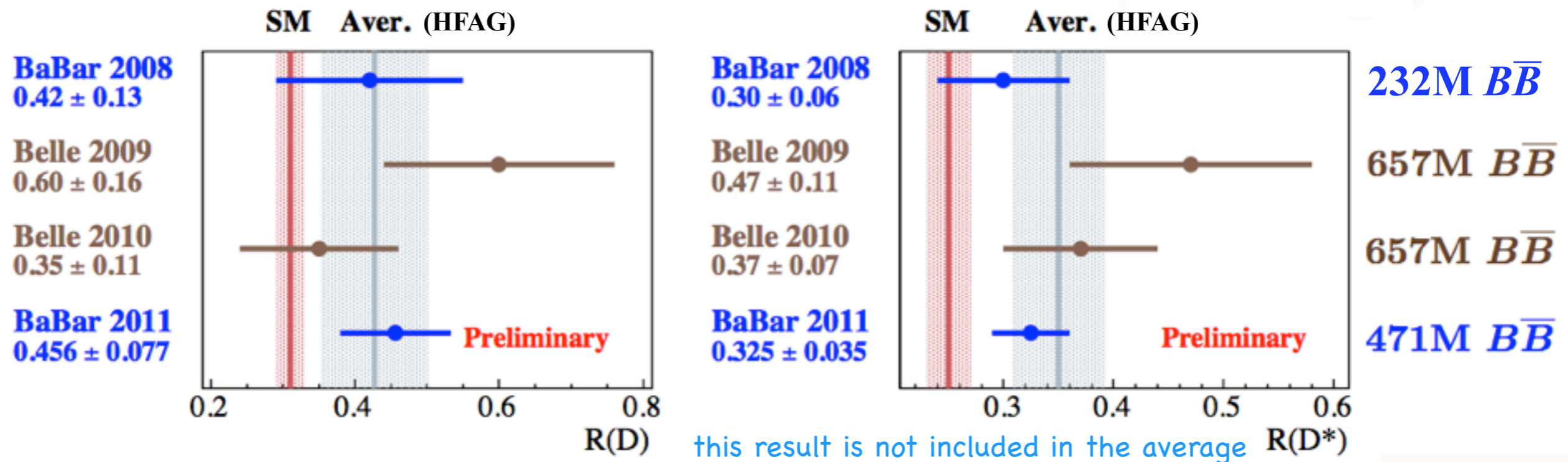


Assign half of the variation as uncertainty:  
 9.5% for  $R(D)$  and 6.5% for  $R(D^*)$

# B $\rightarrow$ D<sup>(\*)</sup> $\tau$ $\nu$

| Mode                         | $N_{\text{sig}}$ | $N_{\text{norm}}$ | $\epsilon_{\text{sig}}/\epsilon_{\text{norm}}$ | $\mathcal{R}(D^{(*)})$      | $\mathcal{B}(B \rightarrow D^{(*)}\tau\nu)$ (%) | $\Sigma_{\text{tot}}$ ( $\Sigma_{\text{stat}}$ ) |
|------------------------------|------------------|-------------------|--|-----------------------------|---|--|
| $D^0\tau^-\bar{\nu}_\tau$    | $226 \pm 39$     | $1433 \pm 46$     | $2.13 \pm 0.06$                                | $0.422 \pm 0.074 \pm 0.059$ | $0.96 \pm 0.17 \pm 0.14$                        | 5.0 (6.2)  |
| $D^{*0}\tau^-\bar{\nu}_\tau$ | $511 \pm 48$     | $6839 \pm 90$     | $1.36 \pm 0.02$                                | $0.314 \pm 0.030 \pm 0.028$ | $1.73 \pm 0.17 \pm 0.18$                        | 8.9 (11.9)                                       |
| $D^+\tau^-\bar{\nu}_\tau$    | $139 \pm 21$     | $704 \pm 29$      | $2.19 \pm 0.08$                                | $0.513 \pm 0.081 \pm 0.067$ | $1.08 \pm 0.19 \pm 0.15$                        | 6.0 (7.5)  |
| $D^{*+}\tau^-\bar{\nu}_\tau$ | $220 \pm 23$     | $2802 \pm 56$     | $1.25 \pm 0.03$                                | $0.356 \pm 0.038 \pm 0.032$ | $1.82 \pm 0.19 \pm 0.17$                        | 9.5 (12.1)                                       |
| $D\tau^-\bar{\nu}_\tau$      | $368 \pm 42$     | $2140 \pm 54$     | $2.15 \pm 0.05$                                | $0.456 \pm 0.053 \pm 0.056$ | $1.04 \pm 0.12 \pm 0.14$                        | 6.9 (9.6)  |
| $D^*\tau^-\bar{\nu}_\tau$    | $730 \pm 50$     | $9639 \pm 107$    | $1.33 \pm 0.07$                                | $0.325 \pm 0.023 \pm 0.027$ | $1.79 \pm 0.13 \pm 0.17$                        | 11.3 (17.1)                                      |

isospin constrained



Full consistency with earlier BaBar results

all channels observed at  $>5\sigma$  significance

1.8  $\sigma$  deviation from SM





SM prediction obtained with old measurements of Form Factors  $\rightarrow$

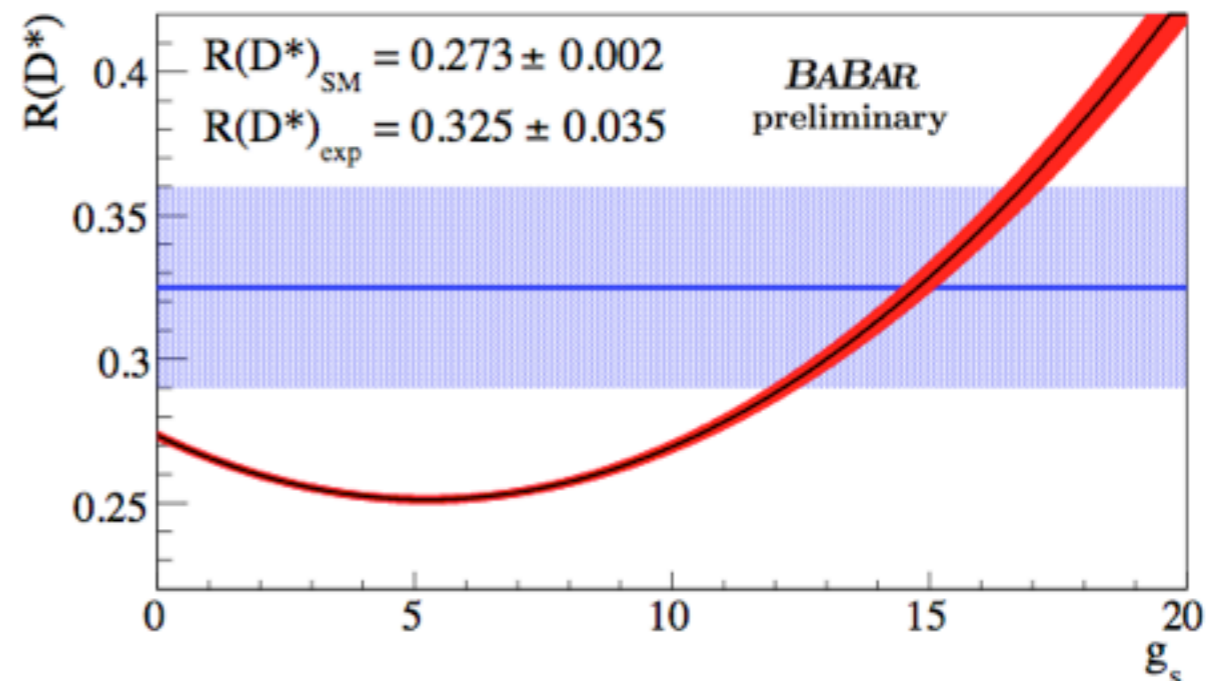
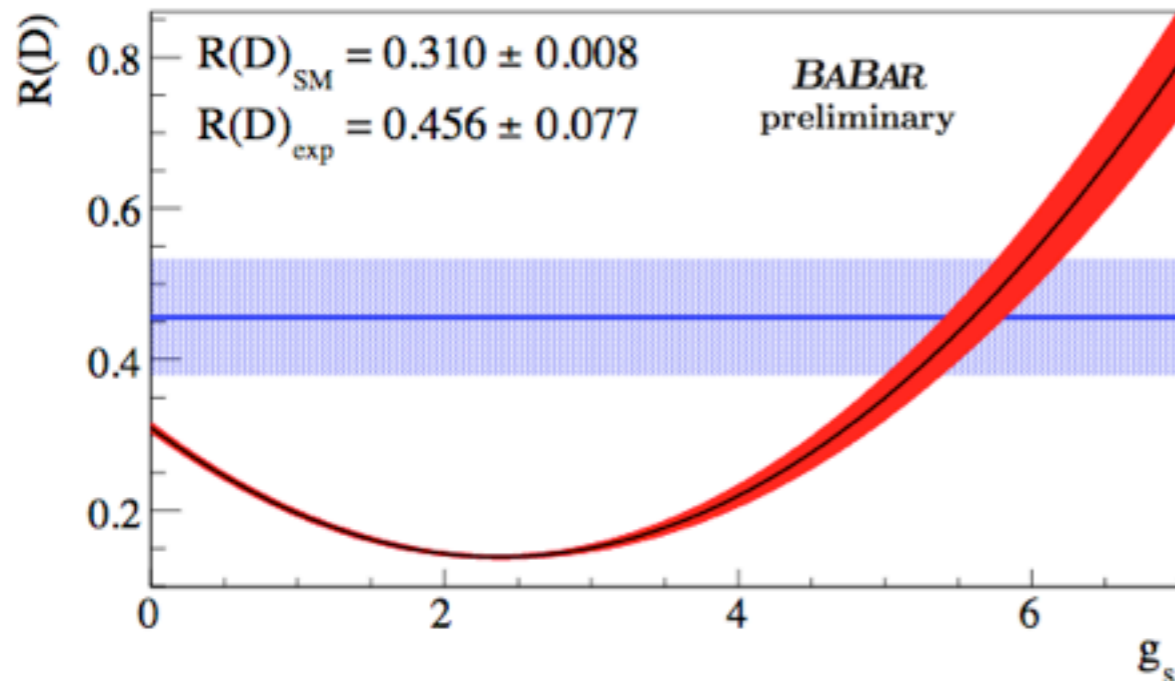
Recalculate it using the latest measurements (HFAG, PDG 2010)

$$\rho^2_D = 1.18 \pm 0.06, \rho^2_{D^*} = 1.20 \pm 0.05, R_1 = 1.43 \pm 0.06, R_2 = 0.82 \pm 0.04$$

$$m_c/m_b = 0.30 \pm 0.02$$

|  | $R(D)_{SM}$                         | $R(D^*)_{SM}$                       |
|--|-------------------------------------|-------------------------------------|
| Hwang, Kim (2000)                          | $0.278 \pm 0.042$                   | $0.256 \pm 0.014$                   |
| Chen, Geng (2006)                          | $0.301 \pm 0.017$                   | $0.252 \pm 0.013$                   |
| Nierste, Trine, Westhoff (2008)            | $0.31 \pm 0.02$                     | -                                   |
| Tanaka, Watanabe (2010)                    | $0.302 \pm 0.015$                   | -                                   |
| <b>Our prediction (after Tanaka, Chen)</b> | <b><math>0.310 \pm 0.008</math></b> | <b><math>0.273 \pm 0.002</math></b> |

$$g_S = m_B^2 \tan^2 \beta / m_H^2$$



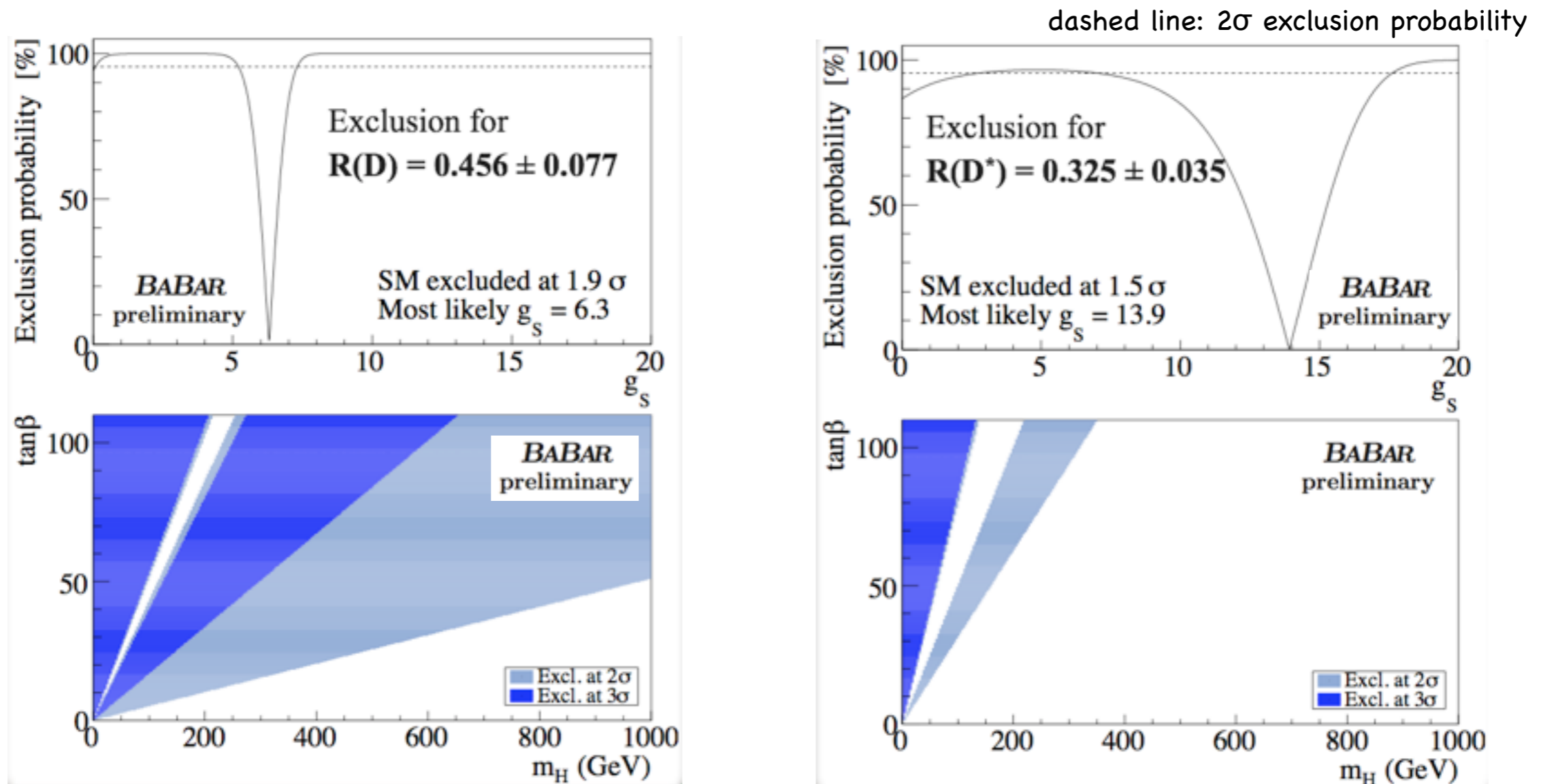
Uncertainties found varying these parameters, and taking RMS of ensemble for each  $g_s$

# B $\rightarrow$ D<sup>(\*)</sup> T V

We find the compatibility of our measurements with the charged Higgs model combining the results in a  $\chi^2$

R(D), R(D<sup>\*</sup>) compatible with SM at  $\sim 2\sigma$

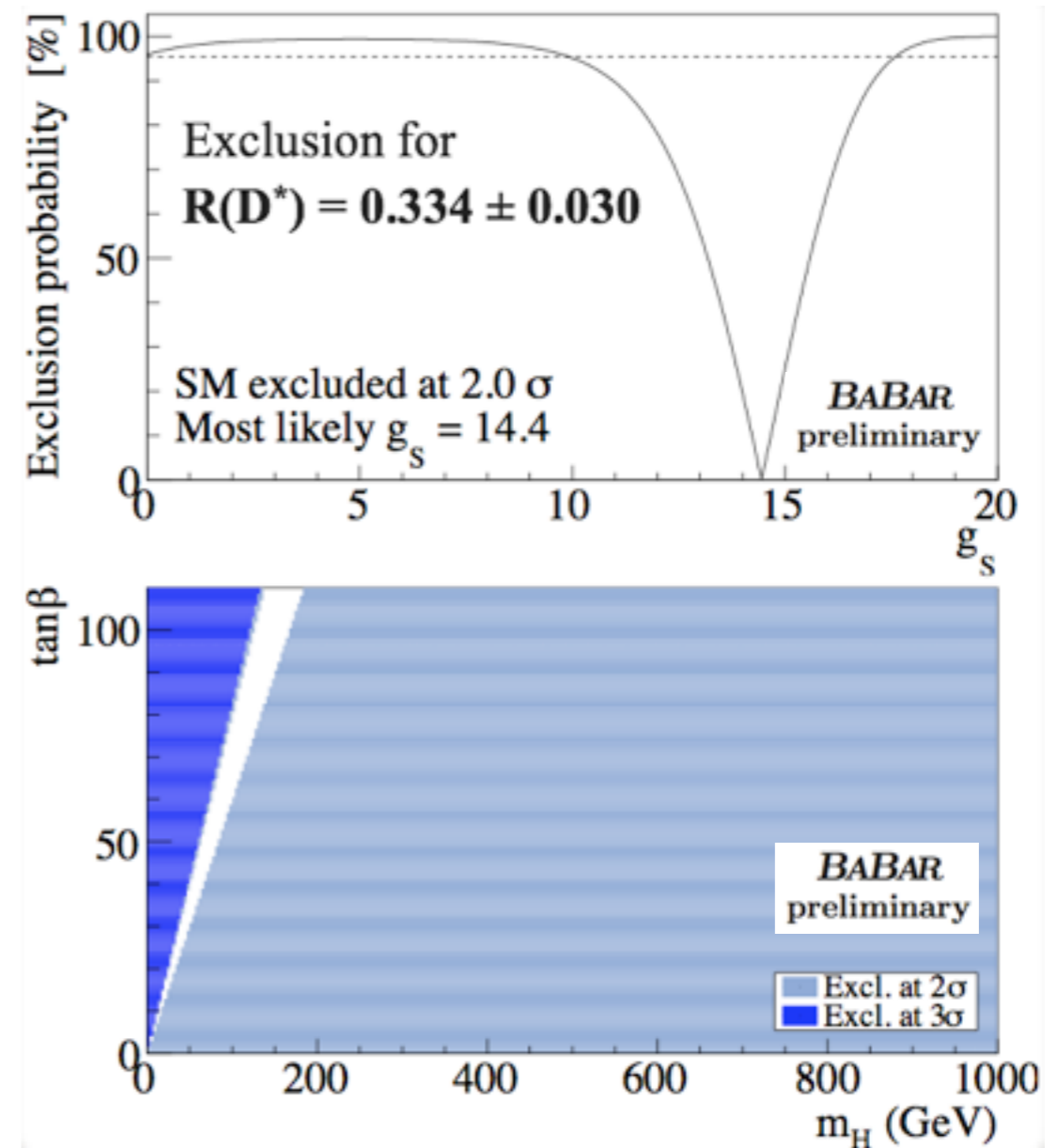
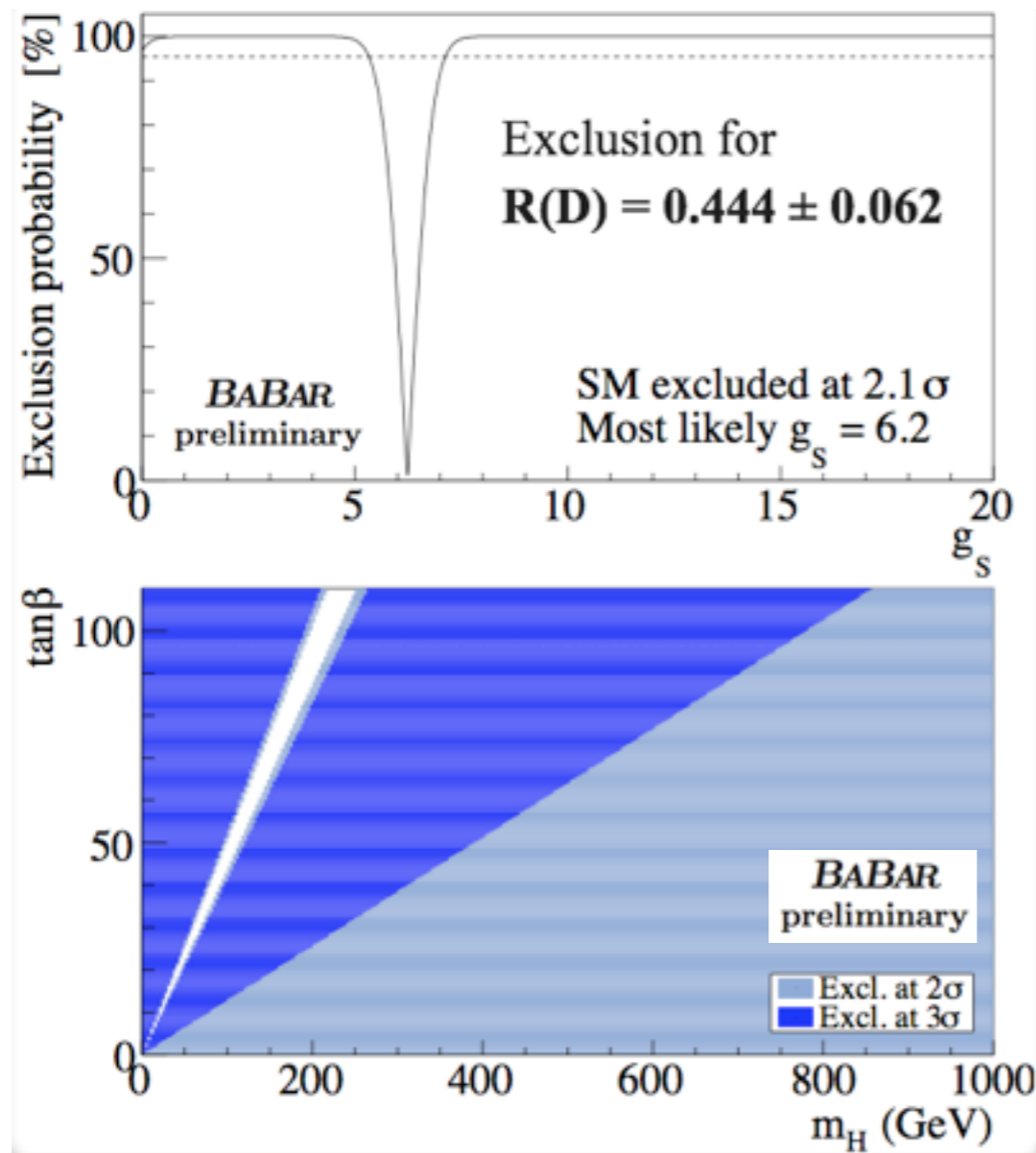
$g_s$  values incompatible between R(D) and R(D<sup>\*</sup>)



# B $\rightarrow$ D<sup>(\*)</sup> $\tau$ $\nu$

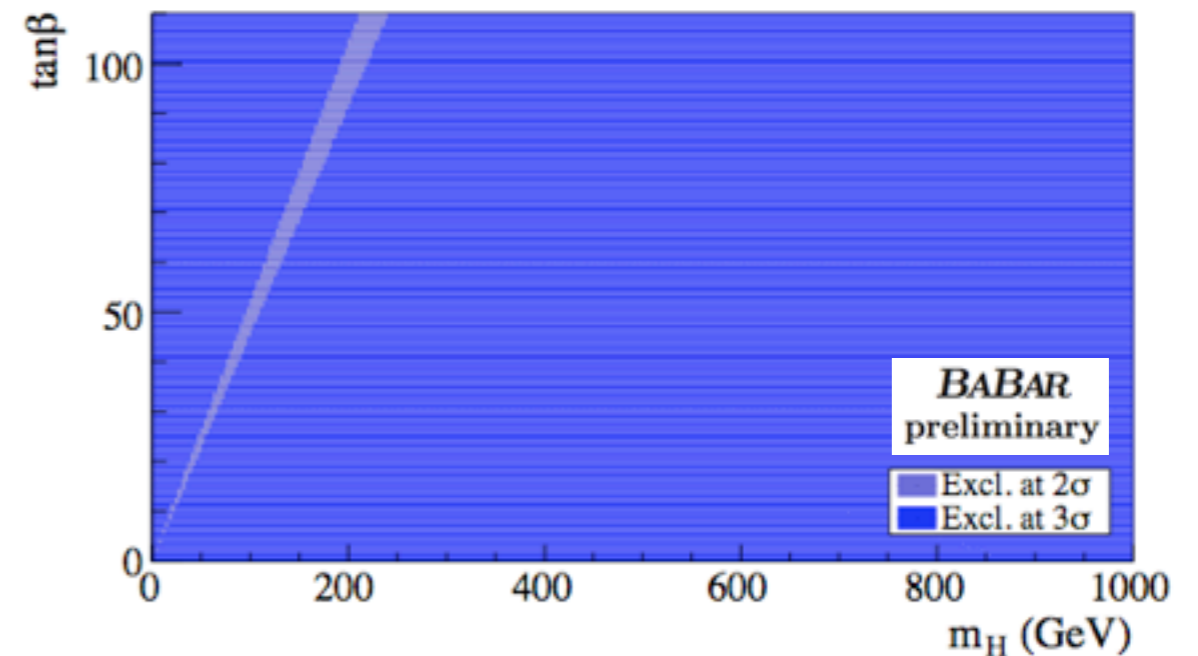
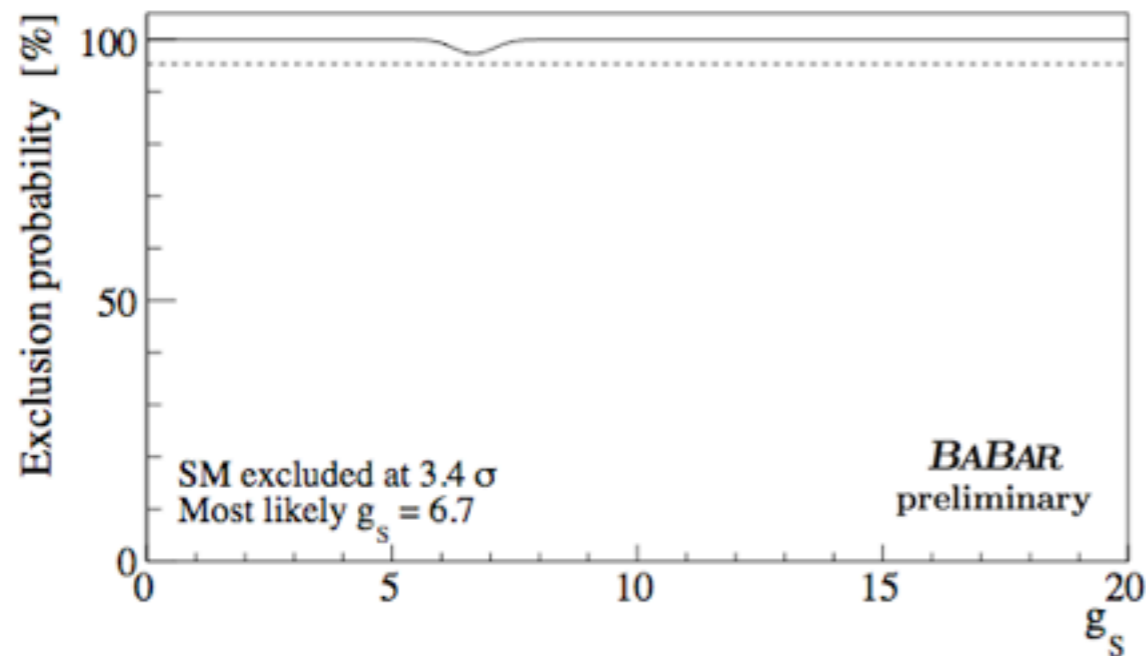
Very similar results are obtained if we combine measurements from Belle and BaBar

Belle:  $R(D) = 0.422 \pm 0.095$ ,  $R(D^*) = 0.397 \pm 0.056$



$$B \rightarrow D^{(*)} \tau \nu$$

Check compatibility between  $R(D)$  and  $R(D^*)$ , taking correlations into account: the possibility of both results agreeing with SM predictions is excluded at  $3.4\sigma$



The two-doublet model of Higgs sector is excluded at 96% level



# Conclusions

BaBar continues to probe the SM with the wealth of data available

$|V_{ub}|$  and  $|V_{cb}|$  values from inclusive and exclusive measurements are marginally consistent with each other

BaBar has measured the production rate and semileptonic branching fraction of  $B_s$  mesons

BaBar has updated its  $B \rightarrow D^{(*)} \tau \nu$  measurement, with the first observation of  $B \rightarrow D \tau \nu$  with a significance  $>5\sigma$

The results show a  $2\sigma$  excess over SM, and are not compatible with two-doublet Higgs model