



# QCD factorization at fixed $Q^2(1-x)$

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**ANALYTICITY AND A FINITE-ENERGY SUM RULE FOR  
THE REGGEON-PARTICLE AMPLITUDE IN  
 $a + b \rightarrow c + d + e$**

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# Outline of talk

Hard inclusive processes have interesting features at high  $x$  ( $x_B, x_F$ )

Bloom-Gilman duality in DIS  $eN \rightarrow eN^*$

Angular distribution of muon pairs in Drell-Yan  $\pi^- N \rightarrow \mu^+ \mu^- X$

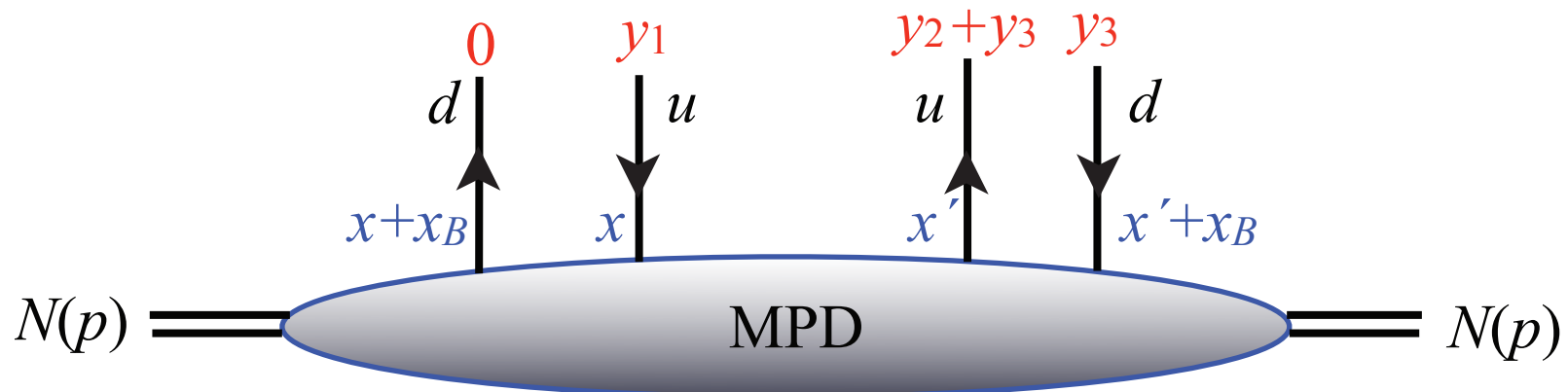
Large single spin asymmetries  $p^\uparrow p \rightarrow \pi + X, \dots$

Higher twist contributions are suppressed by  $1/Q^2(1-x)$

$\Rightarrow$  Consider QCD factorization in a new limit:  $Q^2 \rightarrow \infty$  with  $Q^2(1-x_F)$  fixed

The hard subprocess is coherent with the entire projectile Fock state

Soft matrix element is a forward **multi-parton** distribution:



# Coherence between hard and soft partons as $x \rightarrow 1$

**Example:** DIS in the “target rest frame”  
(Light-Cone gauge)

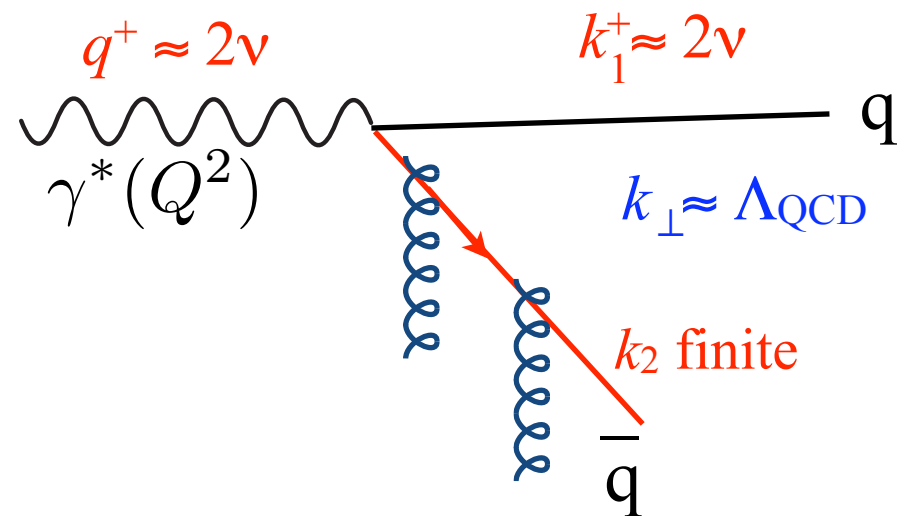
$$\gamma^* \rightarrow q(z) + \bar{q}(1 - z)$$

$$2\nu(1 - z) \sim \Lambda_{QCD}$$

**Soft** (re)scattering of antiquark in target releases virtual  $\gamma^*(Q^2) \rightarrow q\bar{q}$  fluctuation

Possible, since

the life-time of the antiquark is similar to that of the virtual photon



Landshoff, Polkinghorne and Short (1971)

$$x_{\bar{q}}^+ \sim 1/k_2^- \sim \text{finite}$$

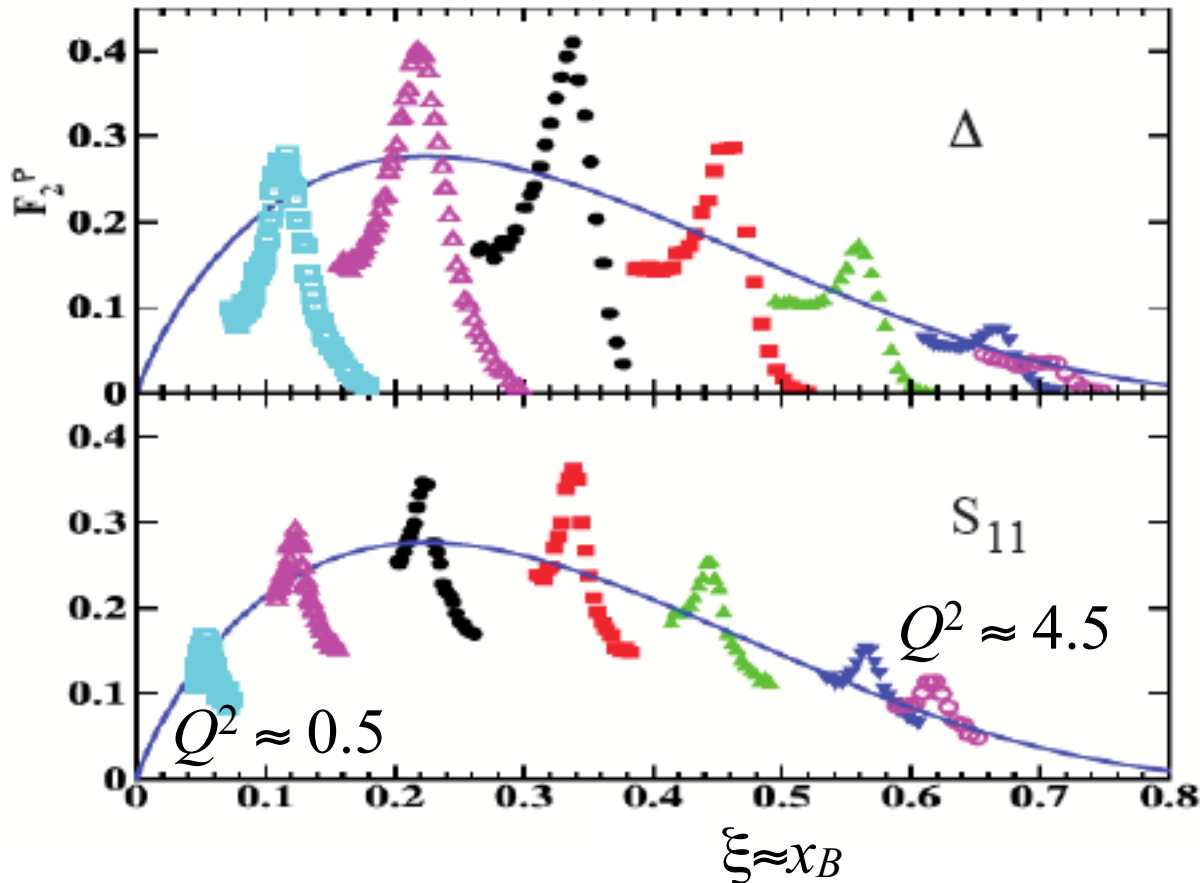
$$x_{\gamma^*}^+ \sim 2\nu/Q^2 = 1/mx_B$$

**Lesson:** Partons which take nearly all the momentum,  $x \sim 1$   
can be coherent with the soft partons,  $1 - x \sim 0$

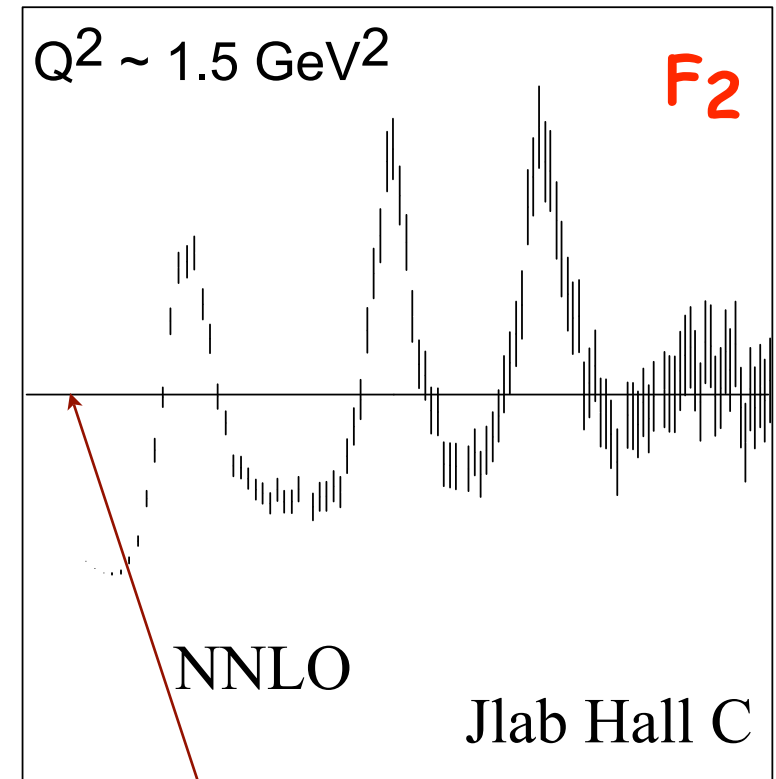
$$x^\pm = x^0 \pm x^3$$

# Bloom - Gilman duality

Jlab Hall C



$E=4$  GeV,  $\theta=24$  Deg



S. Alekhin, PRD 68 (2003) 014002

Smooth curves: Scaling  $F_2$  at large  $Q^2$  and fixed  $x_B$

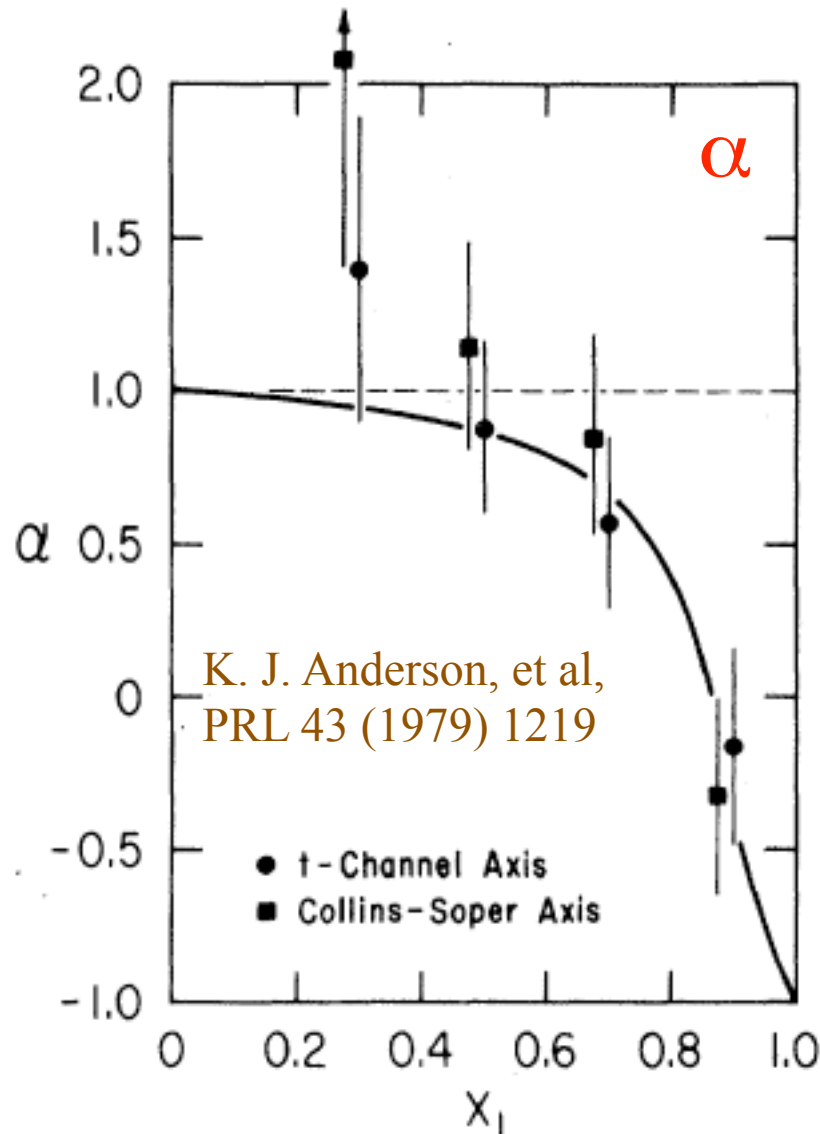
Bj limit

Peaks:  $\Delta$  and  $S_{11}$  contributions for increasing  $Q^2$  and fixed  $(1-x_B) Q^2$

BB limit

Duality shows that the Bj and BB limits are **simultaneously valid**

# Photon polarization in Drell-Yan at high $x_F$



E615:  $\pi^- N \rightarrow \mu^+ \mu^- + X$

$p_{\text{lab}} = 263 \text{ GeV}/c$ ,  $Q > 4 \text{ GeV}$

$$\frac{d\sigma}{d\cos\theta^*} \propto 1 + \alpha \cos^2\theta^* \\ \rightarrow \sin^2\theta^* \text{ as } x_F \rightarrow 1$$

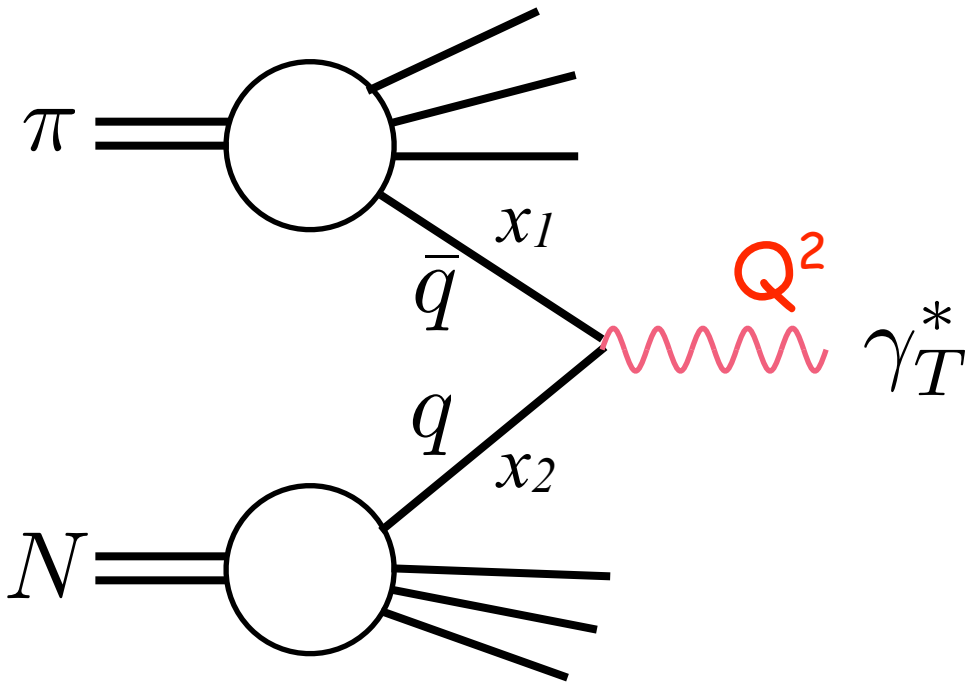
Berger and Brodsky,  
PRL 42 (1979) 940

$\Rightarrow$  colliding quark(s) are far off-shell:  
new subprocess

How does the hard subprocess  
factorize from the soft matrix  
element?

FIG. 2. The dependence of  $\alpha$  on  $x_1$  for data with  $M > 4$  GeV. The dashed line is the expected result for the naive Drell-Yan model. The solid curve is the QCD prediction of Berger and Brodsky (Ref. 8).

# Drell-Yan in the Bj limit: $Q^2 \rightarrow \infty$ at fixed $x$



$$Q^2 = x_1 x_2 s \rightarrow \infty$$

$$x_1, x_2; x_F = x_1 - x_2 \quad \text{fixed}$$

Transversely polarized photon,  
since quarks are  $\sim$  on-shell

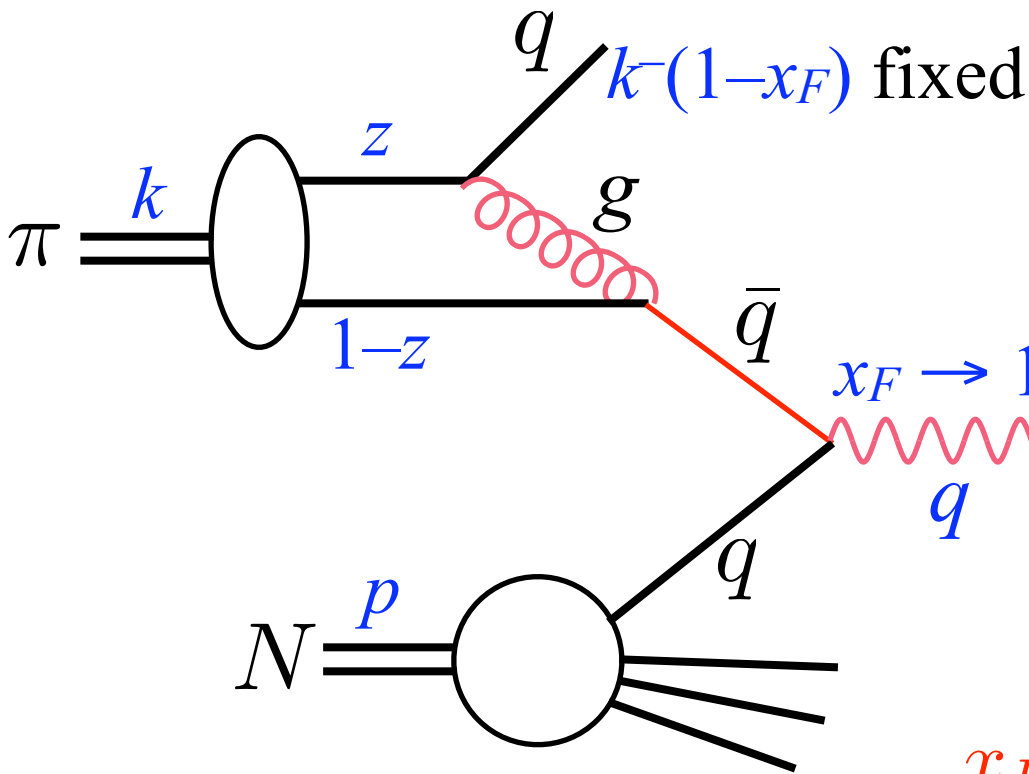
Leading twist: **One** active parton in beam and target hadrons

Spectators are incoherent with the hard subprocess

$$\text{Factorization: } \sigma = f_{\bar{q}/\pi}(x_1) f_{q/N}(x_2) \hat{\sigma}(\bar{q}q \rightarrow \gamma^*)$$

Higher twist corrections are of order  $\frac{1}{Q^2} \frac{1}{1-x}$

Drell-Yan in the BB limit:  $Q^2 \rightarrow \infty$  at fixed  $Q^2(1-x_F)$



Stopped parton coherent with  $\gamma^*$

$\bar{q}, g$  virtualities of order  $Q^2$   
 $\Rightarrow$  higher twist process

$x_F \rightarrow 1$   
 $q$   $\gamma_L^*$  : Longitudinal polarization

$$x_B \equiv \frac{q^+}{p^+} = \frac{Q^2}{2q \cdot p} = \frac{Q^2}{s} \quad \text{fixed}$$

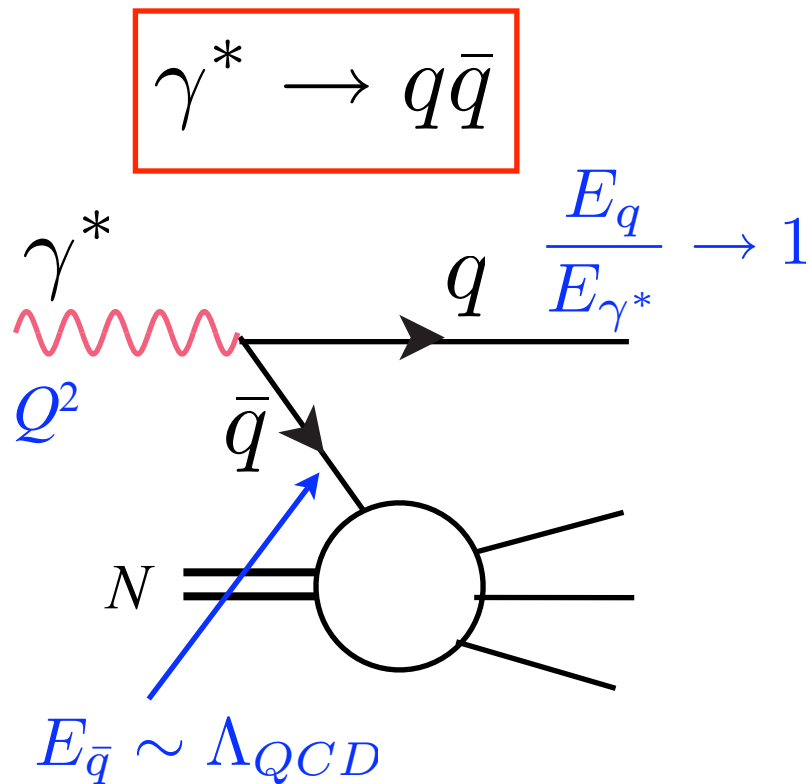
The hadronic mass

$$M_X^2 \equiv (k + p - q)^2 \simeq (1 - x_B)[s(1 - x_F) + m_N^2] \quad \text{fixed}$$

Stopped quark is comoving with the target.

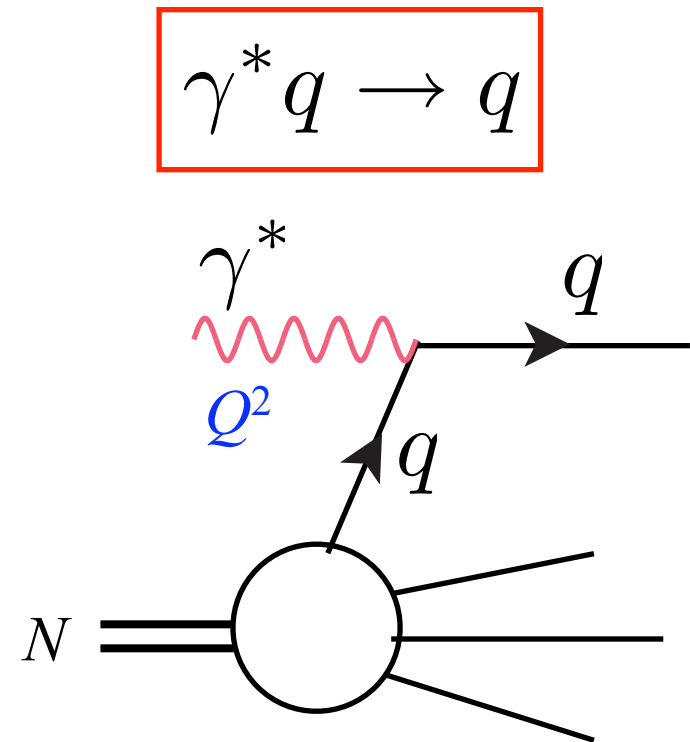
Its interactions in the target affect the hard subprocess.

# Analogy to DIS: "Target rest frame" vs. Handbag



DIS viewed as **photon splitting**:

The antiquark is comoving with the target.  
Its scattering in the target determines DIS  $\sigma$



DIS viewed as **photon scattering**:

The DIS cross section determined by the  
"probability of finding the quark in the target"

In either case, the large photon virtuality  $Q^2$  arises from the **difference in longitudinal momenta** of the quarks, not from their transverse momentum



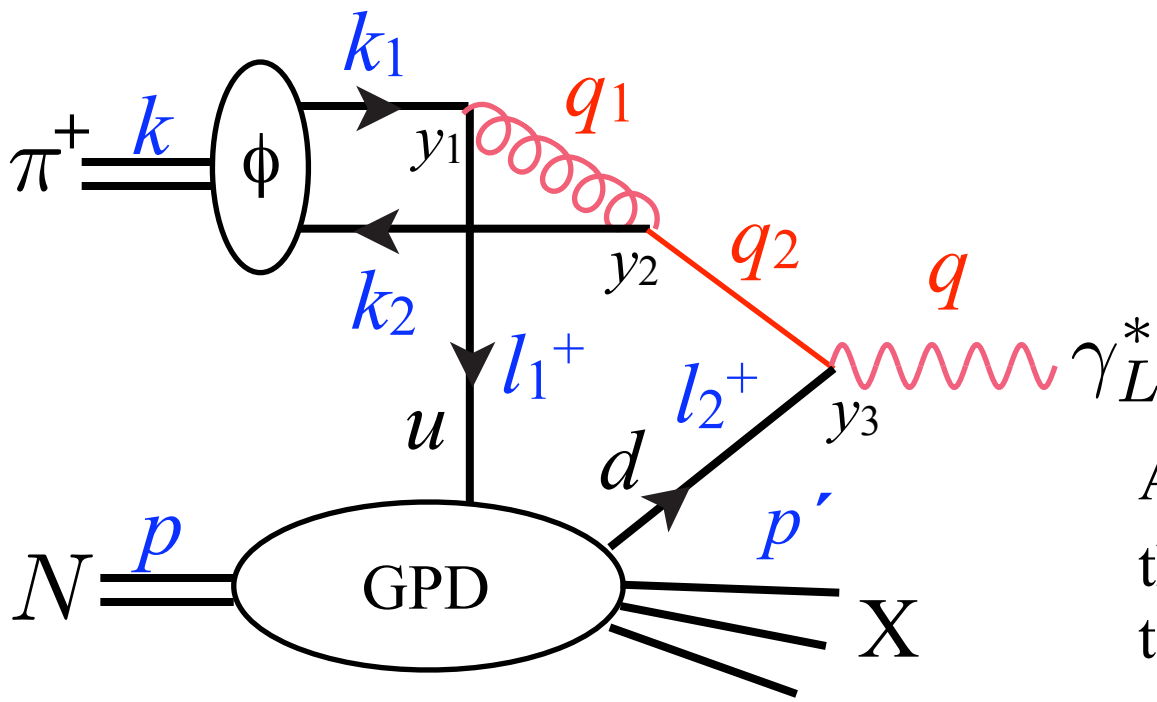
Hence the stopped quark should be connected to the target:

$$k_1 = (0^+, zk^-, \mathbf{k}_\perp)$$

$$k_2 = (0^+, (1-z)k^-, -\mathbf{k}_\perp)$$

Since  $q_1^2 \approx -zk^- l_1^+ \rightarrow \infty$

the pion wave function contributes through its *distribution amplitude*  $\phi$



Also  $q_2^2, q_1^-, q_2^- \rightarrow \infty$ , hence the space-time separation of the target interaction points  $y_1, y_3$  is

For each final state X the target matrix element is given by a **GPD** with skewness

$$l_2^+ - l_1^+ = q^+ = x_B p^+$$

$$|\mathbf{y}_{1\perp} - \mathbf{y}_{3\perp}| = \mathcal{O}(1/Q) \rightarrow 0$$

$$|y_1^+ - y_3^+| = \mathcal{O}(1/Q^2) \rightarrow 0$$

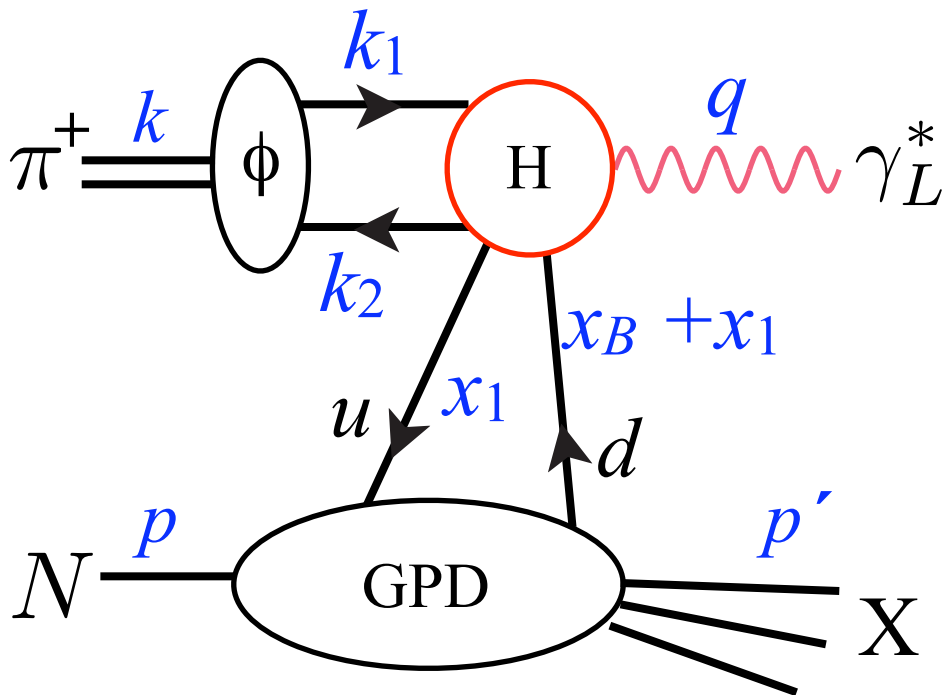
$$|y_1^- - y_3^-| = \mathcal{O}(1/l_1^+) \text{ finite}$$

Using perturbative propagators for the gluon  $q_1$  and  $d$ -quark  $q_2$  and adding three more diagrams we get

$$T(\pi^+ N \rightarrow \gamma_L^* X) = \frac{-ieg^2 C_F}{2\pi Q \sqrt{2N_c}} \int dx_1 C(x_B, x_1) \quad \begin{aligned} z &= k_1^-/k^- \\ x_B &= q^+/p^+ \\ x_1 &= l_1^+/p^+ \end{aligned}$$

$$\times \int dy_1^- e^{-iy_1^- x_1 p^+ / 2} \langle X(p') | \bar{\psi}_u(y_1) \gamma^+ \gamma_5 \psi_d(0) | p \rangle_{y_1^+ = y_{1\perp} = 0}$$

where  $C(x_B, x_1) = \int dz \phi_\pi(z) \left( \frac{e_d}{z} \frac{1}{x_1 - i\varepsilon} + \frac{e_u}{1-z} \frac{1}{x_B + x_1 + i\varepsilon} \right)$



For  $X = p$  we recognize the time-reversed amplitude for deeply virtual pion production,  $\gamma^* + p \rightarrow \pi^+ + n$

For  $X \neq p$  we have a

“transition” GPD L.L. Frankfurt *et al*,  
PRD **60** (1999) 014010

Before summing over all  $X$ , consider photon helicity (same for each  $X$ )

# The photon helicity

**Intuitively:** In the BB limit of  $\pi^+ N \rightarrow \gamma^* + X$  the photon carries the helicity of the pion ( $\lambda = 0$ ), since the process is coherent on the pion wave function

**But:** In  $p N \rightarrow \gamma^* + X$  the photon helicity differs from the proton helicity by  $|\Delta\lambda| \geq 1/2$ , whether it is longitudinal or transverse. What is then the photon polarization?

Helicity systematics in the BB limit is a consequence of the low transverse momenta,  $q_{\perp} \sim \Lambda_{\text{QCD}}$  and the conservation of  $J_z = L_z + S_z$

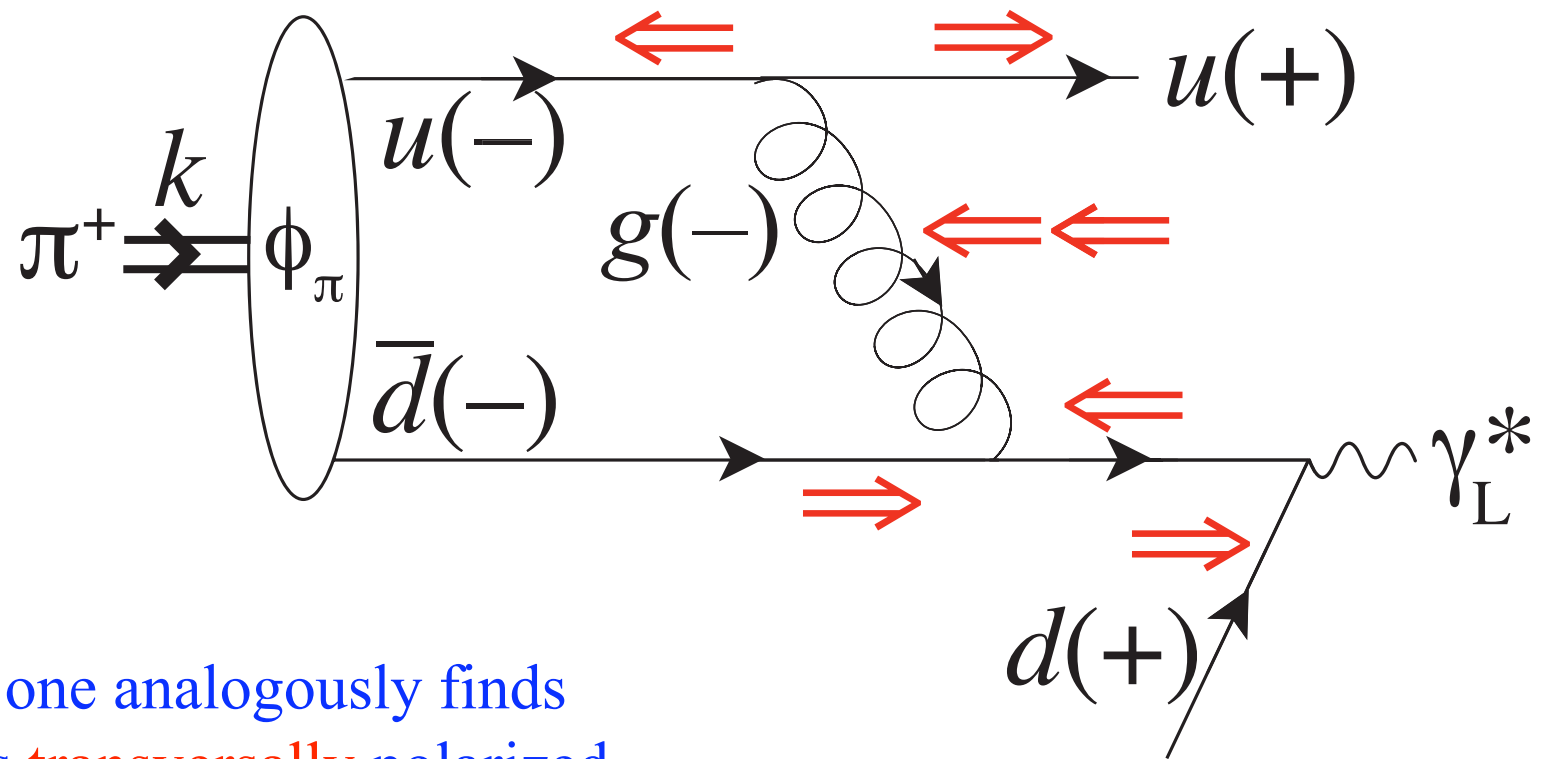
Each unit of  $L_z$  brings a suppression  $q_{\perp}/Q \sim \Lambda_{\text{QCD}}/Q$

$\Rightarrow$  Leading contribution obtained by setting all  $L_z = 0$  (when possible)

# Conservation of $S_z$

Up to terms  $\sim m_q/Q$  the helicity of the quark line is conserved:

Contribution to  $S_z$  ( $\Rightarrow$  or  $\Leftarrow$ ) determined by direction of motion ( $\pm$ ), giving  $S_z = 0$  of the photon in  $\pi^+ N \rightarrow \gamma^* + X$ .



In  $p N \rightarrow \gamma^* + X$  one analogously finds that the photon is transversally polarized.

Consistent with pN DY data  
(J. C. Peng, private comm.)

# Exclusive $\rightarrow$ Inclusive

The dominance of longitudinal photons is known for exclusive meson production,

$$\gamma^* + p \rightarrow \pi^+ + n$$

The change of polarization in inclusive DY occurs for sizeable missing mass

Next we **sum over  $X$**  to obtain the inclusive DY cross section

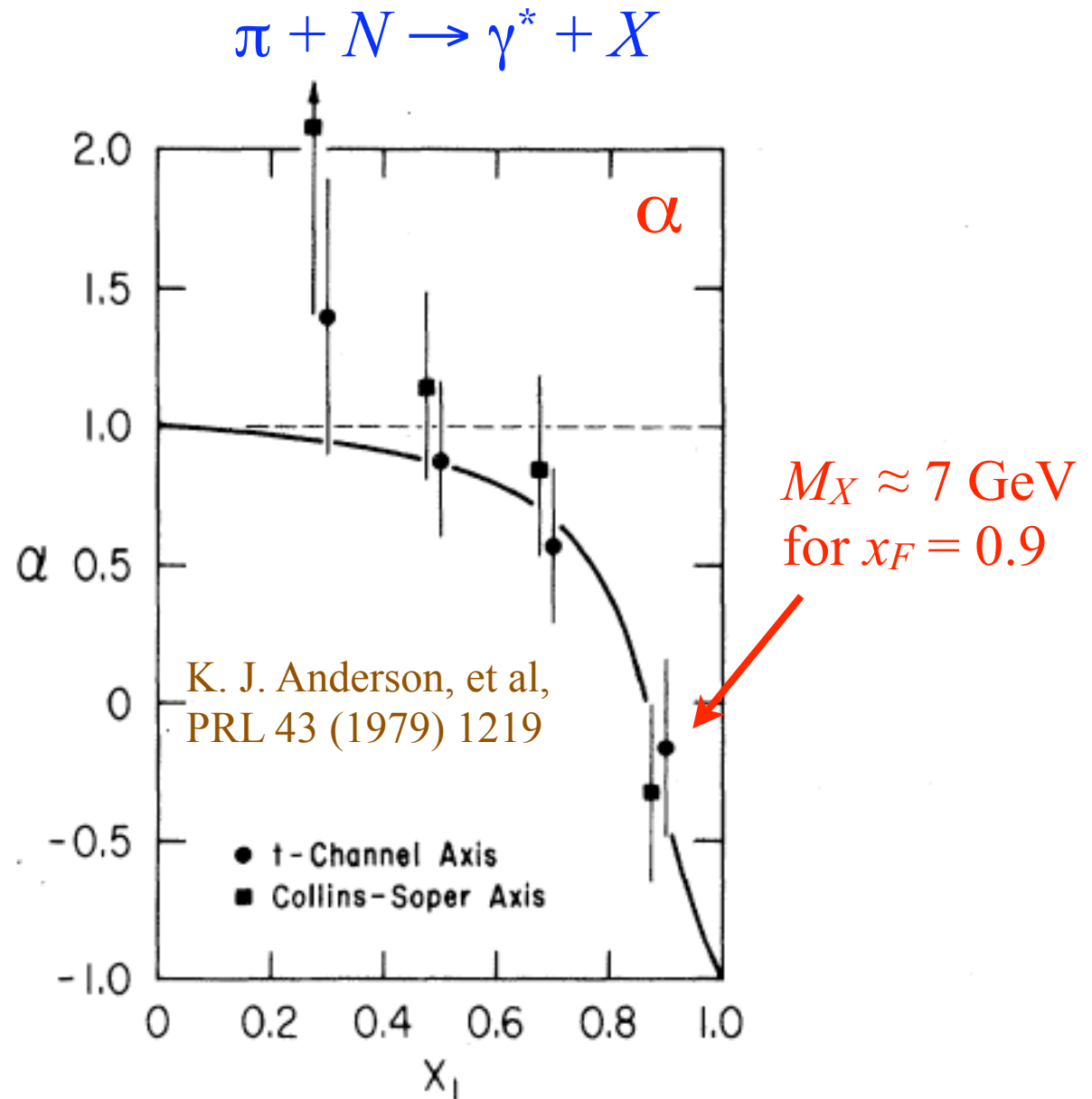


FIG. 2. The dependence of  $\alpha$  on  $x_1$  for data with  $M > 4$  GeV. The dashed line is the expected result for the naive Drell-Yan model. The solid curve is the QCD prediction of Berger and Brodsky (Ref. 8).

## The $\pi^+ N \rightarrow \gamma^* + X$ cross section

$$\sigma(\pi^+ N \rightarrow \gamma_L^* X) = \frac{1}{2s} \sum_X \int \frac{dq^- d^2 \mathbf{q}_\perp}{(2\pi)^3 2q^-} |T(\pi^+ N \rightarrow \gamma_L^* X)|^2 (2\pi)^4 \delta^4(k + p - q - p')$$

The completeness sum over  $X$  includes summing over  $p_X \equiv p'$

$$\sum_X |X\rangle \langle X| \equiv \sum_{n=0}^{\infty} \int \prod_{i=1}^n \frac{d^3 \mathbf{p}_i}{(2\pi)^3 2E_i} |\mathbf{p}_1, \dots, \mathbf{p}_n\rangle \langle \mathbf{p}_1, \dots, \mathbf{p}_n| = 1$$

and must not be constrained by the momentum conserving  $\delta$ -functions.

Integrating the DY cross section over  $\mathbf{q}_\perp = -\mathbf{p}'_\perp$  eliminates the transverse momentum constraint on  $p'$ .

The **longitudinal  $\delta$ -functions** can be incorporated into the GPD matrix element via two position integrals:

$$\begin{aligned} \langle N(p) | \bar{\psi}_d(0) \gamma^+ \gamma_5 \psi_u(y_2) | X(p') \rangle & 2(2\pi)^2 \delta(p^+ - q^+ - p'^+) \delta(k^- + p^- - q^- - p'^-) \\ & = \frac{1}{2} \int dy_3^+ dy_3^- \langle N(p) | \bar{\psi}_d(y_3) \gamma^+ \gamma_5 \psi_u(y_2 + y_3) | X(p') \rangle \exp [iy_3 \cdot (k - q)] \end{aligned}$$

After  $\Sigma_X$  the inclusive cross is given by a forward **multiparton distribution** depending on the fractional '+' momenta

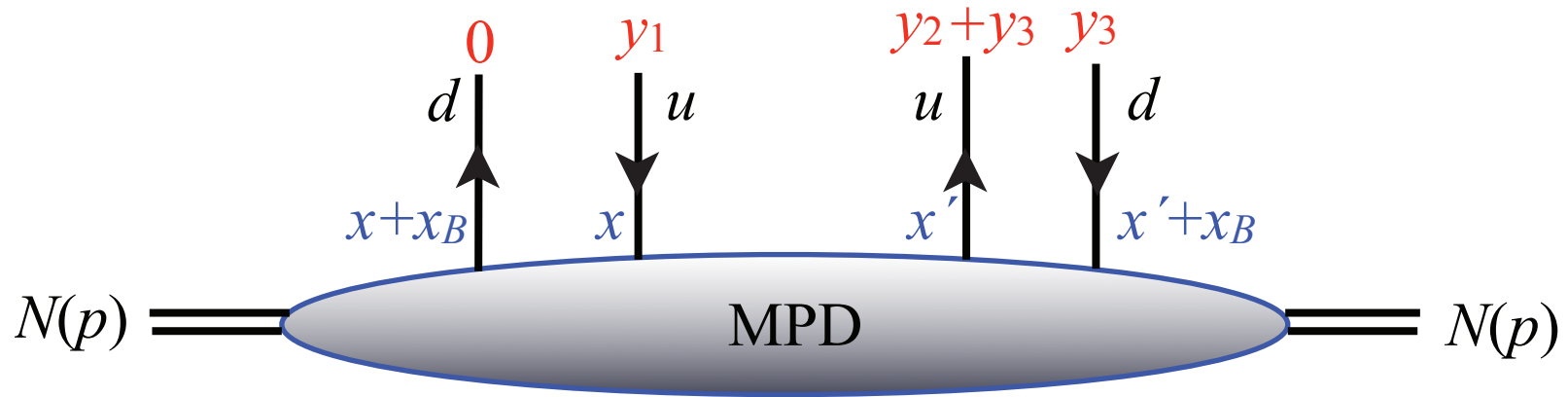
$$x_B = q^+ / p^+, \quad x = l_1^+ / p^+, \quad x' = l_1'^+ / p^+$$

**and** the fractional '-' momentum  $x_M$  transferred to the inclusive system

$$x_M = k^- (1 - x_F) / p^- \quad \frac{x_B + \mathbf{q}_\perp^2 / m_N^2}{1 - x_B} \leq x_M \leq \infty$$

which is conjugate to  $y_3^+$ . At fixed  $x_F$  we would have  $x_M \rightarrow \infty$  and  $y_3^+ \rightarrow 0$ .

# The MultiParton Distribution



$$\begin{aligned}
 \int d\bar{u}/p(x_B, x_M; x, x') &= \\
 &= \frac{1}{4(4\pi)^3} \int dy_1^- dy_2^- dy_3^- dy_3^+ \exp \left\{ \frac{1}{2} i \left[ -y_1^- l_1^+ + y_2^- l_1^{+'} - y_3^- q^+ + y_3^+ x_M p^- \right] \right\} \\
 &\quad \times \langle N(p) | \bar{\psi}_d(y_3) \gamma^+ \gamma_5 \psi_u(y_2 + y_3) \bar{\psi}_u(y_1) \gamma^+ \gamma_5 \psi_d(0) | N(p) \rangle_{y_{i\perp}=0; y_1^+=y_2^+=0}
 \end{aligned}$$

The '-' momentum fraction  $x_M$  determines the inclusive mass,

$$M_X^2 = m_N^2 (1 - x_B)(1 + x_M) - \mathbf{q}_\perp^2$$



# The $\pi^+ N \rightarrow \gamma^* + X$ cross section in the BB limit

$$\frac{d\sigma(\pi^+ N \rightarrow \gamma_L^* X)}{dM_X^2} = \frac{2(eg^2 C_F)^2}{Q^2 s^2 (1 - x_B) N_c} \times \int dx dx' C(x_B, x) C^*(x_B, x') f_{d\bar{u}/p}(x_B, x_M; x, x')$$

where  $C(x_B, x) \equiv \int_0^1 dz \phi_\pi(z) \left( \frac{e_u}{1 - z} \frac{1}{x_B + x + i\varepsilon} + \frac{e_d}{z} \frac{1}{x - i\varepsilon} \right)$

The dependence on  $x_M$  (*i.e.*,  $y_3^+ \neq 0$ ) distinguishes  $f_{d\bar{u}/p}(x_B, x_M; x, x')$  from the higher twist multiparton distributions considered by Jaffe

R. L. Jaffe, Nucl. Phys. B229 (1983) 205

$$H_{abcd}(\alpha_1, \alpha_2, \alpha_3) = \left(\frac{p^+}{2\pi}\right)^3 \int dx_1^- dx_2^- dx_3^- e^{ip^+(\alpha_1 x_1^- - \alpha_2 x_2^- - \alpha_3 x_3^-)} \\
 \times \langle p | T(\phi_d^*(x_2^-) \phi_c^*(x_3^-) \phi_b(0) \phi_a(x_1^-)) | p \rangle_C$$

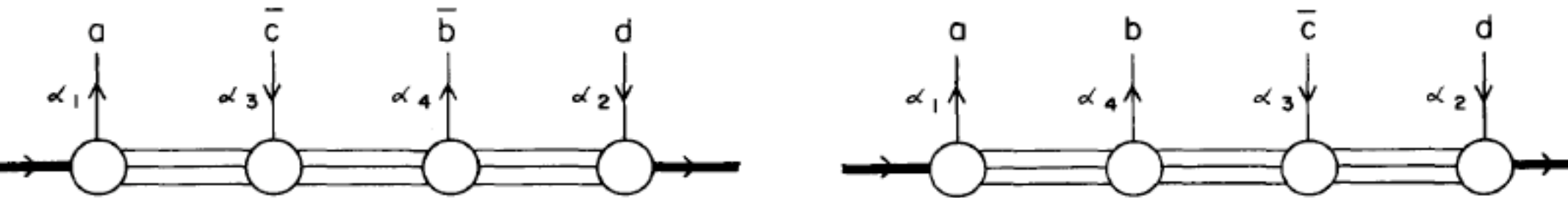
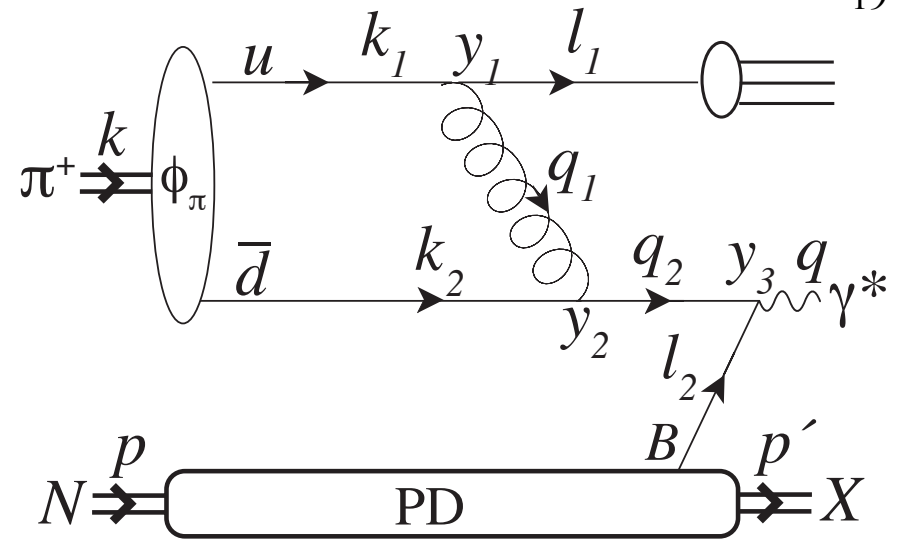
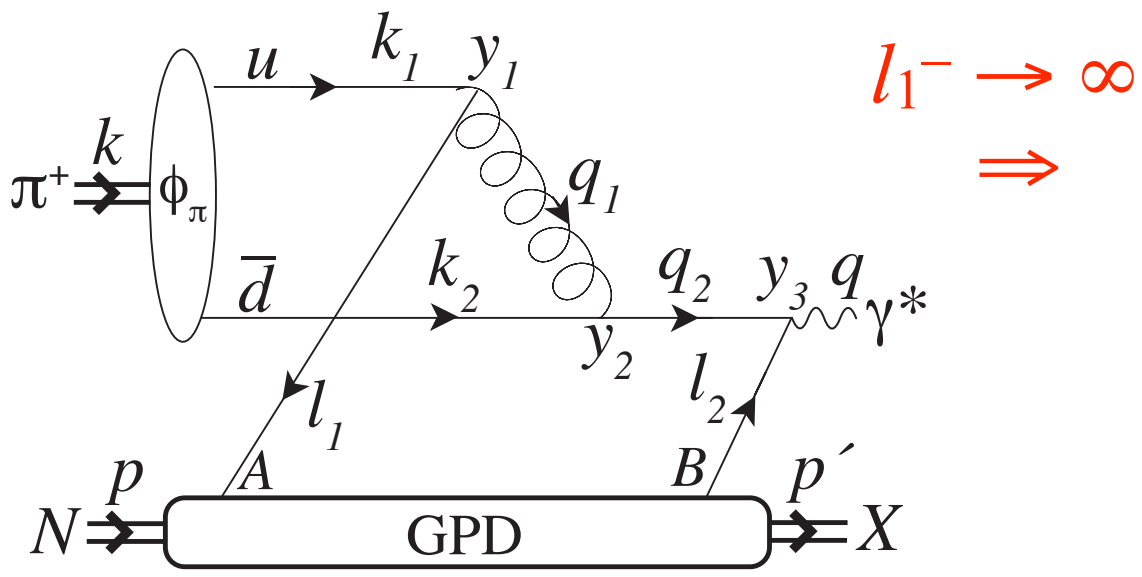


Fig. 10. Parton representations of a four-parton distribution function (a)  $\alpha_1, \alpha_2 > 0, \alpha_3, \alpha_4 < 0$ ; (b)  $\alpha_1, \alpha_4, \alpha_2 > 0, \alpha_3 < 0$ .

With  $y_i^+ = 0$  all (anti)commutators vanish on the light-front.

Conversely, in  $f_{d\bar{u}/p}(x_B, x_M; x, x')$  there can be contractions which in the  $x_M \rightarrow \infty, y_3^+ \rightarrow 0$  limit corresponds to the quark in the pion with large  $x_M p^- = (1-x_F)k^-$  forming a separate jet in the final system  $X$ .



In the  $y_3^+ \rightarrow 0$  limit the  $u$ -quark propagator becomes LF dominated, reducing the GPD to the standard  $d$ -quark PDF:

$$f_{d\bar{u}/p} \rightarrow \delta(l_1^+ - l_1'^+) \frac{l_1^+}{4\pi} \theta(l_1^+) f_{d/p}(l_2^+/p^+)$$

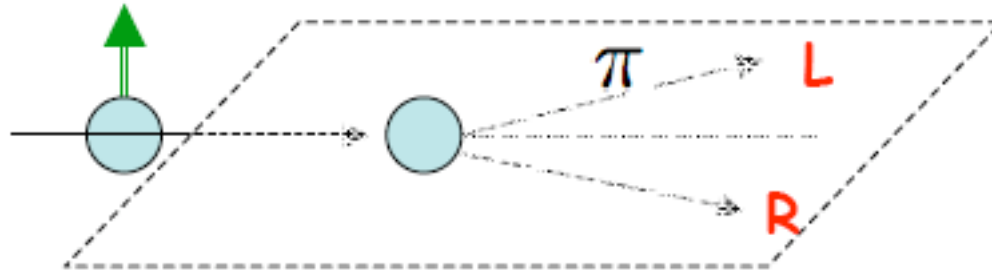
The DY cross section then becomes

Berger and Brodsky,  
PRL 42 (1979) 940

$$\frac{d\sigma(\pi^+ N \rightarrow \gamma_L^* X)}{dM_X^2} = \frac{(ee_d g^2 C_F)^2}{Q^2 s^2 (1-x_B) N_c} \int \frac{dl_1^+}{2\pi l_1^+} \theta(l_1^+) \left( \int \frac{dz}{z} \phi_\pi(z) \right)^2 f_{d/p}(l_2^+/p^+)$$

# Transverse Single-Spin Asymmetries ( $A_N$ )

$$p^\uparrow p \rightarrow \pi(x_F, k_T) + X$$



$$A_N = \frac{\sigma_\uparrow - \sigma_\downarrow}{\sigma_\uparrow + \sigma_\downarrow}$$

SSA requires **helicity flip** and a **dynamical phase** in a subprocess which is **coherent** with the high  $k_\perp$  parton

$$A_N \sim \text{Im}(M_+ M_-^*)$$

In the Bjorken limit:  $A_N \sim \alpha_s m_q / p_T$

$A_N \sim 0.001$  for  $p_T \sim 2$  GeV/c

Kane, Pumplin and Repko  
PRL **41** (1978) 1689

# SSA in $p^\uparrow p \rightarrow \pi(x_F, k_\perp) + X$

- $A_N \approx 0.4$  at  $x_F = 0.8$

Almost all beam momentum and spin is transferred to  $\pi$ , suggesting **coherence over entire  $p^\uparrow$  Fock state** even at high  $k_\perp$

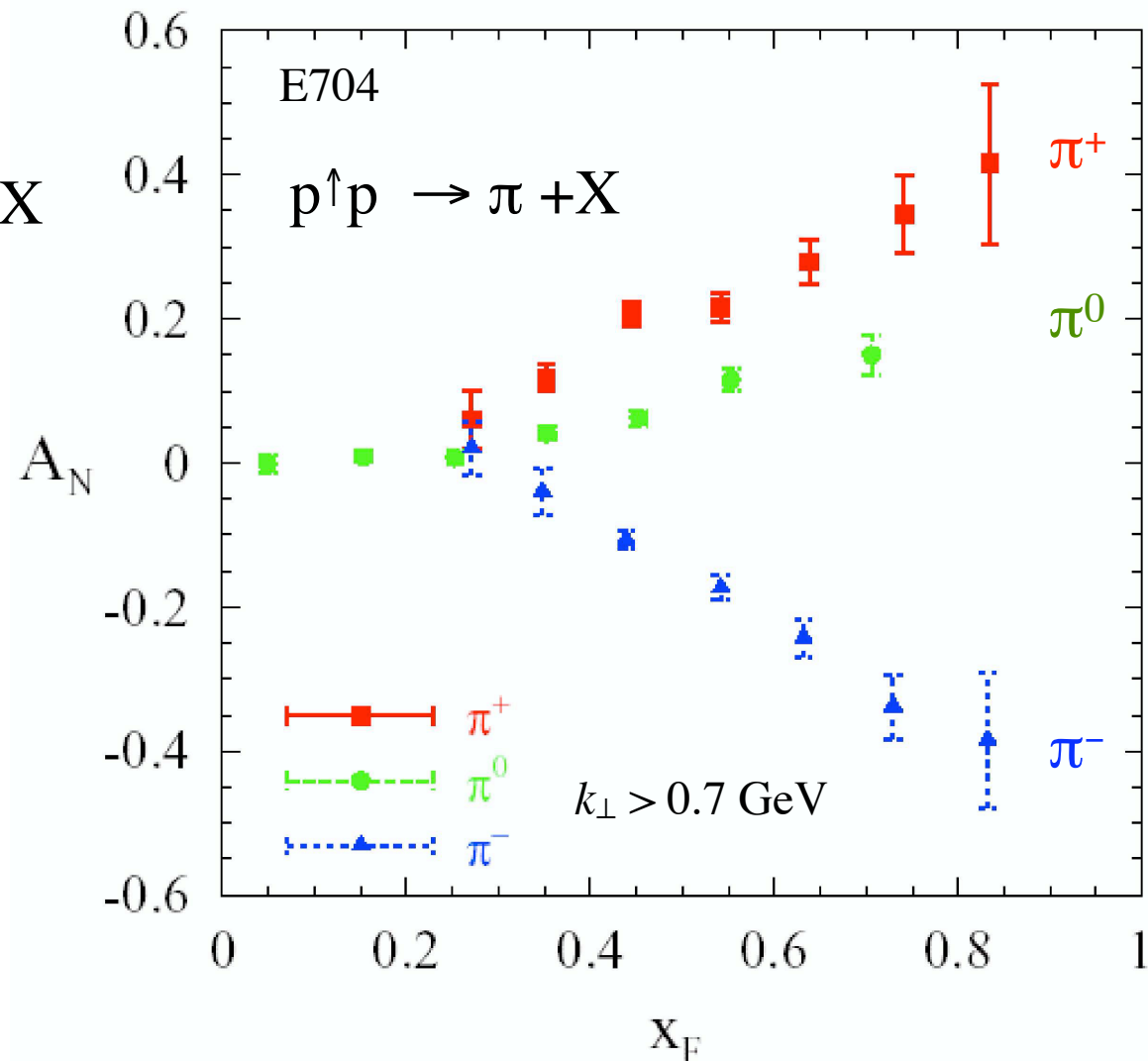
- Leading twist analysis **underestimates** the  $p^\uparrow p \rightarrow \pi + X$  cross section at high  $x_F$

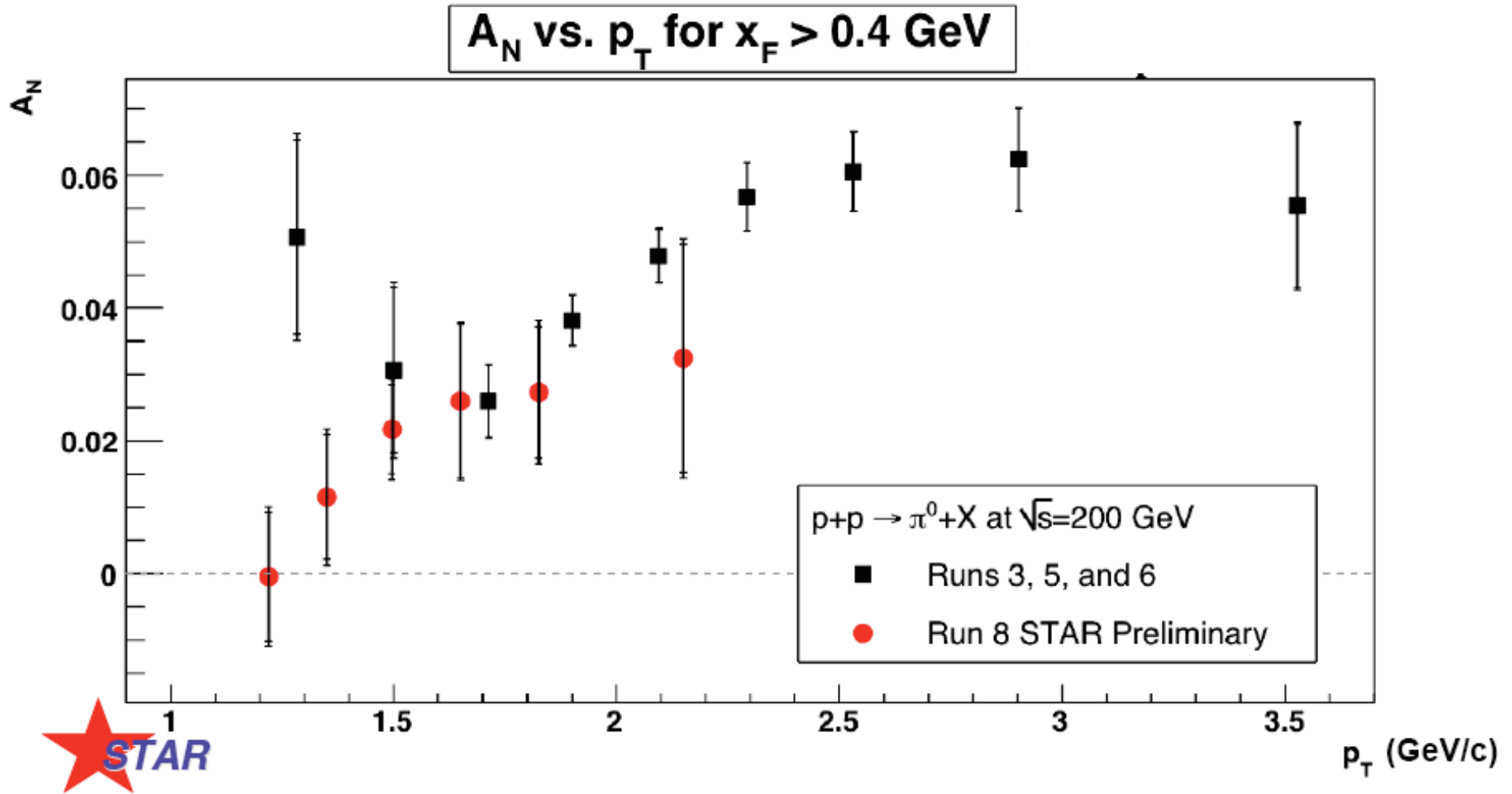
$$x_F = x_{q/p} z_{\pi/q}$$

$$0.8 = 0.9 \cdot 0.9$$

C. Bourrely and  
J. Soffer, Eur.  
Phys. J. C **36**  
(2004) 371

- $A_N$  generated at twist 3 or via Sivers effect obeys  $A_N \propto 1/k_\perp$  which **contradicts data**.

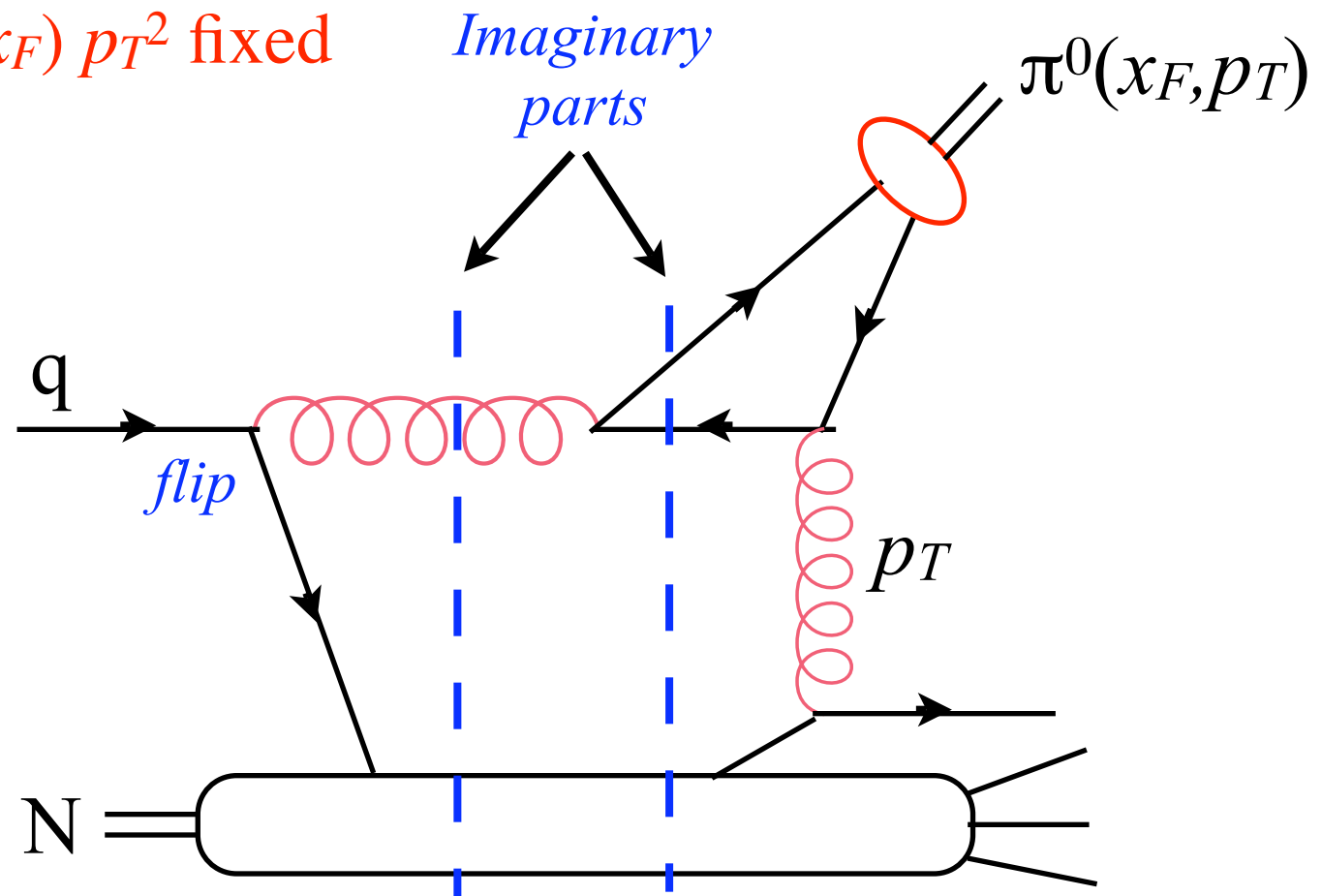




STAR Collaboration  
 PRL.101:222001,2008  
 arXiv:0801.2990

**Tentative** scheme for generating an SSA through helicity flip in a soft process which is coherent with the large  $p_T$  scattering

$p_T \rightarrow \infty$  with  $(1-x_F) p_T^2$  fixed



If successful, would indicate that soft processes where a quark loses most of its momentum may influence hard scattering in, e.g., form factors.

Data reveals qualitatively new features of scattering at high  $x$

Longitudinal photons in  $\pi N$  Drell-Yan

Large single spin asymmetries

Bloom-Gilman duality

This suggests to consider hard processes at fixed  $Q^2(1-x)$  “BB” limit

Hard subprocess coherent with entire wave function of projectile

Approaching exclusive processes from better understood region

DY cross section factorized in terms of a forward multi-parton distribution

Finite LF time difference  $y_3^+$  between T and T\*

Application to SSA in  $p^\uparrow p \rightarrow \pi + X$  under consideration.

Possibly relevant also for an understanding of Bloom-Gilman duality and the dynamics of exclusive form factors.